University of Maine at Farmington

2022 Annual Security & Campus Safety Report and Fire Safety Report



For the Students, Faculty and Staff of the University of Maine at Farmington

University of Maine at Farmington (UMF)
Department of Public Safety/Campus Police
149 Quebec Street
Farmington, Maine 04938
207-778-7400

This report includes campus safety policies, procedures and statistics concerning campus crime for the calendar years 2019, 2020, and 2021. It is for the information of students, employees, parents, prospective students and prospective employees. This report describes how the UMF Department of Public Safety/Campus Police responds to crime and how students, faculty, staff can work together to maintain a safe community. Also included in this report is the Annual Fire Safety Report. If you would like a paper copy of this report, please call 207-778-7400 or come to our office at 149 Quebec Street, Farmington, Maine 04938

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Annual Campus Crime Report:

Safety and Security Information

The UMF Department of Public Safety/Campus Police is located at 149 Quebec Street, Farmington Maine 04938. The phone number is 207-778-7400. The Department reports to the Vice President for Student Affairs/Enrollment Management. The UMF Department of Public Safety / Campus Police staff includes:

- Director of Public Safety/Chief of Police
- Administrative Specialist
- 2 Police Sergeants
- 2 full-time Police Officers
- 9 Reserve Police Officers
- 8 Security Escort Staff (Student Employees)
- 4 Security Office Assistants (Student Employees)

The department provides protection and services 24 hours a day, 7 days a week throughout the calendar year.

Police Officers at UMF have full law enforcement authorization on all property owned or controlled by the University of Maine at Farmington. The Police Officers of the UMF Department of Public Safety/Campus Police receive the enforcement authority, via the provisions of Public Law 1971, Chapter 544, Section 142, as amended, and the Board of Trustees grants each campus President, or his or her appointed representative, the authority to appoint persons to act as Police Officers at that campus. All full time Police Officers are trained as any other full time Police Officers in the State of Maine.

UMF Department of Public Safety/Campus Police Officers patrol the campus 24 hours a day using a police cruiser and on foot. During the academic year, UMF Department of Public Safety / Campus Police provides a student inter-campus escort service during nighttime hours (dusk until dawn).

The UMF Department of Public Safety/Campus Police has trained Communication staff during the daytime hours (Monday thru Friday 8am - 4pm), and relies on the Franklin County Communication Center for E-911 dispatching. The Franklin County Communication Center instantly dispatches the appropriate response to a call for service as UMF Campus Police Officers are in constant communication, via portable radio or telephone.

The UMF Department of Public Safety/Campus Police maintains and operates an additional Satellite Office in the Olsen Student Center, which is located at 111 South Street in Farmington. The Satellite Office allows for more visibility with the UMF community and access for walk-in visits, if need be.

Cooperative Law Enforcement Functions

UMF Department of Public Safety/Campus Police Officers are full time police officers and have powers of arrest. They work with and have mutual aid agreements with Farmington Police Department and Franklin County Dispatch. UMF Department of Public Safety/Campus Police investigate all criminal matters on campus and will work with relevant law enforcement agencies in solving crime.

Reporting Criminal Activities or Emergencies

UMF community members and guests who wish to report criminal activities may do so in a number of ways. Emergency calls should be made by dialing 911. When calling 911 from a university phone, the call rings into the UMF Department of Public Safety/Campus Police office and shows which extension it is dialed from and then rings through to the Franklin County Communication Center (dispatch). This process allows the on duty UMF Campus Police Officer to mobilize before the call is even completed.

The Franklin County Communication Center personnel are fully trained in 911 procedures and Emergency Medical Dispatching. They will keep the caller on the line to communicate instructions in the event of a medical emergency.

Persons may use any of the 43 life safety phones (emergency call boxes and emergency elevator telephones) that are strategically located around campus. The external emergency call boxes (excluding the emergency elevator/chair lift phones) can provide a direct emergency line to the Franklin County Communications Center when the red button is pushed and the caller can contact the UMF Department of Public Safety/Campus Police directly by dialing 778-7400 or 311 on the keypad. Callers can only contact the UMF Department of Public Safety/Campus Police directly on the emergency elevator/chair lift phones. The external emergency call boxes are visible and most are marked with a blue light above them. Anyone may use the life safety phones to report a criminal incident, a fire or other types of emergencies or to request assistance of any kind from the UMF Department of Public Safety/Campus Police.

Phone jacks are also available in each residential room on campus, but it is students' responsibility to plug in standard phones.

Persons desiring more confidentiality may come to the UMF Department of Public Safety/Campus Police office at any time of the day or night to report a crime. Persons may report criminal activity to the UMF Department of Public Safety/Campus Police, The UMF Title IX Coordinator, the Farmington Police Department, the Franklin County Sheriff's Department and/or any UMF Campus Security Authority (CSA).

If a person calls to report a crime, he or she will need to provide the following information:

- Full name, date of birth and contact information (cell phone number preferred)
- Location and description of the incident reported
- A description of any vehicles or suspects involved in the incident

If someone suspects a crime is being committed or has been committed, they are encouraged to call the UMF Department of Public Safety/Campus Police immediately at 207-778-7400 or by dialing 911.

When a crime is reported to UMF Department of Public Safety/Campus Police or other appropriate officials of the University, it will be investigated by the UMF Department of Public Safety/Campus Police. In addition, if a suspect of a crime is a student, there may be an inquiry through the UMF Title IX Coordinator, the Office of the Vice President of Student Affairs and Enrollment Management or the Department of Student Life (Student Conduct Officer). If the suspect is an employee of the University, an inquiry may be conducted by the Office of Human Resources and/or other appropriate university officials. It is the policy of the University to take every report of criminal activity seriously and to take appropriate action, consistent with applicable law.

To Report A Crime:

To report a crime: Persons may contact the UMF Department of Public Safety/Campus Police at 207-778-7400, dial 911 (for emergencies only) or by using any of the life safety phones (emergency call boxes, emergency elevator phones and emergency chair lift phones) located throughout the campus. Any suspicious activity or person(s) seen in the parking lots loitering around vehicles, inside buildings or around the residence halls should be reported immediately to the UMF Department of Public Safety/Campus Police. In addition, reports of a crime may be reported to the following individuals:

- Christine Wilson Vice President for Student Affairs and Enrollment Management 207-778-7087
- Brian Ufford Director of Student Life / Student Conduct Officer 207-778-7334
- Yetunde Ajao Assistant Director of Student Life for Training and Multicultural Initiatives 207-778-7188
- Duncan Farley *Area Director* 207-778-7372
- Sarah Carew Area Director of Student Life / Deputy Title IX Coordinator 207-778-7372
- Amie Parker *Director of Equal Opportunity / Deputy Title IX Coordinator* 207-592-3618
- Vacant Vice President for Academic Affairs / Provost 207-778-7154
- Sarah Carnahan Interim Director, Mental Health & Counseling Services 207-778-7091
- Jamie Beaudoin *Director of Athletics* 207-778-8168
- Benjamin White Director of Fitness & Recreation Center 207-778-7494
- Keenan Farwell Director of Facilities Management 207-778-7009
- Kirsten Swan Director of Student Leadership & Service 207-778-7593
- Shelley Hickey *Director of Student Health Clinic 207-778-7200*
- Jessica Berry *Director of Learning Assistance Center –* 207-778-7295
- Lynn Ploof-Davis *Director of TRIO Programs 207-778-7297*
- UMF Department of Public Safety/Campus Police 207-778-7400
- Farmington Police Department 207-778-6311
- Franklin County Sheriff's Department 207-778-2680

The UMF Department of Public Safety/Campus Police and the Farmington Police Department have a mutual aid and working agreement. Each department augments the

other within their jurisdictions during mutual investigations, arrests and prosecutions. UMF Campus Police personnel communicate directly with all other local law enforcement agencies to exchange ideas and problems that may be of concern for the campus community.

Anonymous Reporting

Members of the campus community can receive confidential support with Title IX concerns by going to the Mental Health Counselors with the UMF Center for Student Development and/or the Medical Staff at the UMF Student Health Center.

The UMF faculty and staff members identified above are not required to report the incident to the UMF Director of Public Safety/Chief of Police. If they do decide to report these incidents confidentially, then the appropriate information, such as, the type of crime, when the crime occurred and where the crime occurred, will be properly documented in the Maxient records management system by the Title IX Coordinator, Deputy Title IX Coordinator and/or Student Life Staff. As the designated Campus Safety Security Administrator (CSSA) for the University, the Director of Public Safety/Chief of Police has access to Maxient for proper collection and disclosure of annual crime statistical data for the University's Annual Security Report, in accordance with the federal Clery Act. The UMF staff/faculty member will then ensure the reporting party receives information about the appropriate resources available to them (i.e., the Deputy Title IX Coordinator's contact information) and will assist them with contacting our various coalition partners if necessary. If a report is made anonymously, the University will take steps to investigate based on the information that is provided, recognizing that an anonymous report may limit the scope of the investigation.

The University of Maine at Farmington maintains a coalition partnership with the Sexual Assault Prevention & Response Services (SAPARS), Safe Voices (Local Victim Advocacy Organization that provides support services for victims of Domestic Violence and Sex Trafficking / Exploitation), Franklin Memorial Hospital and Western Maine Behavioral Services.

If the individual filing the report requests a criminal investigation, an officer from the UMF Department of Public Safety/Campus Police will investigate immediately. If the individual does not want a criminal investigation to occur, but would like administrative action taken, then the Deputy Title IX Coordinator will start the investigation process under the University of Maine System Sex Discrimination, Sexual

Harassment, Sexual Assault, Relationship Violence, Stalking and Retaliation and Title IX Sexual Harassment policy.

Criminal Activity off Campus

When a UMF student is involved in an off-campus offense, officers may assist with the investigation in cooperation with local, state or federal law enforcement. Farmington Police Department routinely works and communicates with the UMF Department of Public Safety/Campus Police on any incidents occurring on-campus or in the immediate neighborhood or businesses surrounding the University. UMF does not offer off-campus housing or off-campus student organization facilities. However, many students live in the neighborhoods surrounding UMF. While the Farmington Police Department has primary jurisdiction in all areas off of campus, the UMF Department of Public Safety/Campus Police can and will respond to student-related incidents that occur in close proximity to campus. The UMF Department of Public Safety/Campus Police maintains direct radio communications with local area police departments, local area fire departments and ambulance services in order to facilitate rapid response in any emergency situation. Any off-campus incidents that are reported to the UMF Director of Public Safety/Chief of Police will be referred to the Vice President for Student Affairs/Enrollment Management, the University Student Conduct Officer and/or the Office of Human Resources for administrative action under the Student or Employee Conduct Code.

Building and Grounds Security

All public facing buildings and facilities are accessible to the campus community and guests during normal business hours, 8am- 4:30pm, Monday thru Friday. Exterior doors of campus buildings are locked after normal business hours by the University's electronic door locking system (Transact) and/or will be manually locked and unlocked by the UMF Department of Facilities Management and the Department of Public Safety/Campus Police personnel daily. Only "entrance" only doors will be unlocked/locked daily, all "exit" only doors stay locked and not be propped open to ensure the integrity of the building's physical security. In collaboration with the Coordinator of Conferences and Events and the Facilities Management Department, a weekend report is disseminated to the Director of Public Safety/Chief of Police, who will assign the on-duty weekend Campus Police Officers with the task of manually unlocking and locking certain building entrances and specific rooms for groups that have requested or reserved these various spaces. These are rooms and buildings that do not have on-duty Facilities Management personnel working in them during the opening and closing times. All other requests that are outside normal business hours or

on weekends are handled by the UMF Department of Facilities Management. The UMF Department of Public Safety/Campus Police routinely patrols campus, to include conducting building security checks. Entry after normal hours is available to faculty, staff and authorized students, as determined by the appropriate academic or administrative offices.

For the protection of our community, certain academic, administrative, and other buildings with high-volume traffic have clear signage marking exterior 'Entrance' or 'Exit-only' doors. By limiting the number of doors used for normal entry, emergency lockout procedures (both automated and/or manual) can be initiated in compliance with the requirements of the National Fire Protection Association (NFPA) 101, Life Safety Code.

Additionally, UMF Department of Public Safety/Campus Police also surveys and routinely reports security problems with exterior building doors on-campus to the UMF Department of Facilities Management. If an issue is detected, which may present a security hazard, Facilities Management is immediately notified by the on-duty Campus Police Officer, via a Facilities Management emergency contact roster or electronic work order, to address the issue in a timely manner.

Certain buildings are alarmed for the protection of contents and personnel and the alarms are activated when any unauthorized entry is made. Immediate response to the alarm location is initiated by UMF Department of Public Safety/Campus Police.

The University of Maine at Farmington is committed to proper building and grounds maintenance ensuring a safe level of exterior lighting. UMF Department of Facilities Management maintains the university buildings and grounds with an eye towards safety and security. Facilities staff inspect campus facilities regularly, promptly making repairs affecting safety and security, and responding to reports of potential safety hazards, such as lights needing replacement, and broken windows and/or locks.

Adhering to a safe level of lighting commitment, the University of Maine at Farmington continues to upgrade exterior lighting with notable incremental improvements throughout the campus. UMF Department of Public Safety/Campus Police routinely surveys existing lights during their routine mounted and dismounted (foot) patrols and submits requests for maintenance of existing lights and for additional illumination. Individuals are encouraged to report any exterior lighting deficiencies by calling the UMF Department of Facilities Management directly at 207-778-7006 or submitting an electronic work order at https://apps.maine.edu/FMRequests/request.xhtml

UMF Department of Facilities Management and the UMF Department of Public Safety/Campus Police share responsibility for compiling an on-going list of improvements and maintenance for fire/life safety systems. UMF Department of

Facilities Management works with departments to manage hazardous materials, Material Safety Data Sheet compliance, and hazardous waste removal. Generally, any hazards such as asbestos, lead paint abatement, and fuel storage tank regulation issues are resolved through the effort of the UMF Department of Facilities Management and contracted experts.

The University of Maine at Farmington works to ensure that security is given the appropriate consideration as we address and schedule routine maintenance and make upgrades to facilities. Grounds keeping personnel trim shrubs from sidewalks, walkways, and building entrances to provide a well-lighted route to buildings.

UMF Department of Facilities Management conducts regular and routine inspections and provides maintenance to campus buildings and grounds. These inspections include, but are not limited to, campus landscaping, locks, and lighting. Ground maintenance includes the trimming of trees and shrubs that might be a hazard to the safety of students and other visitors on campus, especially at night.

Access to Campus Residence Halls

All residence halls are secured 24 hours a day, 7 days a week and equipped with an electronic card access system that allows authorized students to gain access to a building by tapping their UMF Student ID on a proximity card reader located at designated exterior doors. The hosting student would be required to register their guests with the UMF Department of Student Life on-duty staff at the Residence Hall Community Assistant Office and with the UMF Department of Public Safety/Campus Police for their overnight guest parking decal. Unscheduled patrols of the residence halls may also be conducted by the UMF Department of Public Safety/Campus Police.

Emergencies may necessitate changes or alterations to any posted schedules. Areas that are revealed as problematic have security surveys conducted. Administrators from the President's Office, Facilities Management Department, Student Life and other concerned areas review these results. These surveys examine security issues, such as landscaping, locks, alarms, lighting and communications. Additionally, during the academic year, the UMF Directors of Facilities Management, Department of Student Life and the Department of Public Safety/Campus Police meet on a case-by-case basis to discuss issues of pressing concern.

Campus Police Officers perform regular building checks each and every shift. Area Directors (A.D.'s), Area Coordinators (A.C.'s) and Community Assistants (C.A.'s) from the Department of Student Life are assigned to each residence hall. Safety and security checks made in the residence halls are conducted randomly per shift (3 eight-hour shifts per day) to determine and detect any damage to facilities and any suspicious activities.

Crime Prevention Resources and Service

Crime prevention programs play an important and significant role within the UMF campus community. UMF Department of Public Safety/Campus Police operates under the assumption that they are a component of the educational process for students, faculty and staff within this community. The campus community as a whole are responsible for the reduction of crime and crime prevention.

Crime prevention programs and sexual assault prevention programs are offered on a continual basis. Periodically during the academic year, the UMF Department of Public Safety/Campus Police, in cooperation with other university departments, university organizations and coalition partners, presents crime prevention awareness training sessions on sexual assault (rape, including acquaintance rape), substance abuse awareness, bystander intervention training and safety tips for personal safety and residence hall security. A common theme of all awareness and crime prevention programs is to encourage students and employees to understand their responsibility for their own safety and how to positively impact the safety of others.

In addition to training sessions, information is disseminated to students and employees through crime prevention awareness packets, social media sources, security alert posters, bulletin boards, articles and advertisements in university and student newspapers. When time is of the essence, information is released to the UMF community through security alerts posted prominently throughout campus, through computer memos sent over the university's electronic mail system and cell phone instant messaging.

The following is a listing of the crime prevention programs and projects offered at the University of Maine at Farmington:

Orientation:

During each Student Orientation (every January and August), students are informed of the services offered by the UMF Department of Public Safety/Campus Police, as well as local (town of Farmington) crime and local law enforcement. The UMF Department of Public Safety/Campus Police assists with traffic control during Centralized Check-In.

• Bystander Intervention Training

Previously named Step-Up, The Campus Advocacy Project, with supervision

from Gavin Pickering, LCPC, provides a pro-social behavior and bystander intervention training that educates students to be proactive in helping others. This bystander training program is offered to on-campus departments and student organizations and groups as well as to first year students through PHE 100.

In 2016 we applied for and won an NCAA grant to begin Bystander Intervention education training. Representatives from the Student Health Clinic, Athletics, and a student representative attended the training. The plan was to implement health education for students the following semester.

In 2017 UMF focused on introducing the Step-Up Bystander Intervention program to campus with the help of professional staff and at least 8-10 additional volunteers, including Health Initiative students. Starting with the Community Advisor's (CA) training program in August 2017, they made presentations to numerous groups throughout the year, including the following: Student Senate, all Varsity teams and some club teams (ex: Rugby), and other student clubs and organizations. Other types of education included posters, and "table top" education in the dining halls, library, snack bar, etc.

In 2018, the primary health education focus was bringing the Bystander Intervention message to the campus community. Promoting Step Up will assist with the development of prosocial behavior needed to help prevent problems, address injustice, and to build the caring campus community we all want. Student Health Center frequently gets feedback on the Facebook page or in person from students who attended a program who then Stepped Up to help a student in need; making sure that an intoxicated student got home safely or speaking up in a situation where something said was inappropriate.

The Health Advocate team of students presented the Step-Up program to numerous groups in 2018. These included the CA's, Student Senate, Alpha Phi Omega (APO), and multiple sports teams. Starting in the Spring Semester, a collaborative effort was implemented with Alison Thayer (Fitness and Recreation / Instructor) targeting the required Physical Health Education (PHE) course. All PHE students will be required to attend a Step-Up program which will be scheduled on a Friday during their first year at UMF.

Besides using the table tops and bulletin boards to promote health education messages, the Step-Up team was able to make and give two additional programs which tie into the overall health and safety message. In October, 2018, we presented a *Halloween Pre-game* program. The focus was to educate students before the first UMF dance of the year about risk-reduction involving alcohol, drugs, and sexual assault.

In April 2018, with cooperation with the Rainbow League (a student organization), we presented *How to be an Ally*. We participated in the mental health awareness *Fresh Check Day* in collaboration with Active Minds. At the end of each of the Fall and Spring Semesters in 2017 and 2018 we organized a fun, stress-reducing event for campus. The goal was to continue to expand the Bystander intervention message with more specific issues (i.e.: relationship abuse, sexual harassment, sexual assault, anger, alcohol misuse and alcohol poisoning, and depression).

In 2019 the Bystander Intervention program changed supervision and moved to the Center of Student Development under supervision of Gavin Pickering. Step-Up continued involvement with presentations to PHE 100 classes and participation with programming on campus including Fresh Check Day and a meet and greet program presented by the Multicultural Club. Step-Up students also continued "table-top" education in the dining hall, library, and snack bar. Step-Up also presented a safe relationships program near Valentine's Day and supported the Vagina Monologues which was a collaborative effort with Counseling Services, Clef Notes, and SAPARS.

Step-Up continued into 2020 providing PHE 100 presentations both in person (physically distanced) and a virtual presentation created by students. These students worked to modify/modernize the presentation and train new student workers and volunteers to present this material. Students presented a suicide awareness/prevention vigil in coordination with the American Foundation of Suicide Prevention and had a guest speaker from AFSP. Step up planned an alcohol awareness program for October 2020 but had to cancel due to physical distancing restrictions. In line with COVID safety protocols, Step-Up also held a Fresh Check Day virtual event in Spring 2021.

Bystander Intervention presentations continued being provided through PHE

100 during the 2021-2022 academic year. In person and virtual were offered in the fall, spring presentations were provided only virtually due to limited capacity of student employees. Students provided tabling events monthly, as well as an in person Fresh Check Day, which was very well attended. There was also a more active social media presence. Collaboration with AFSP continued, with an emphasis on the "Seize the Awkward" campaign. Students provided support to the newly created Queer Student Union by assisting in programming, and collaborated with the Campus Safety Project to determine what next steps to be taken on campus.

Due to changes introduced by the Campus Safety Project, Bystander Intervention has shifted from Step-Up to an umbrella program called Campus Advocacy Project. As bystander intervention training is expanded with the Campus Safety Project, we worked to establish which Bystander Intervention Programming would be used to deliver basic bystander intervention information. This included ongoing meetings and research. Our work study students were actively involved in these conversations.

In 2022-23, PHE 100 will continue to receive training and there will be continued support through tabling/programming/outreach/social media as well as continued collaboration with Campus Safety Project.

UMS Compliance Track Online Training

The University of Maine System requires all employees, managers, student employees and student supervisors to conduct annual mandatory training. The online trainings include the following seven modules: Basic Safety, Conflicts of Interest, Federal Education Rights and Privacy Act (FERPA), Information Security, Sexual Harassment Prevention, Title IX and Information, Communication and Technology (ICT) Accessibility Awareness and Conflicts of Interest. This training track has been updated to include Active Shooter Preparedness and Diversity Equity and Inclusion Training for the 2022-2023 academic year.

Residence Hall Safety Awareness:

At the beginning of each semester, the UMF Department of Public Safety/Campus Police conducts a mandatory campus security authority training with the Student Life staff. Crime prevention, safety and security programs are

presented in each residence hall upon request by the Community Assistants. The Student Life staff conducted the following programming in the Residence Halls in 2020:

- ➤ Wrap it Up Residential students in Francis Allen Black Hall learned about how to properly use condoms and what safe sex should look like.
- ➤ **Trivia Night** Residential students in Mallett Hall learned different information about alcohol and how to stay safe if consuming alcohol.
- ➤ **Plant Parenthood** Residents of Francis Allen Black Hall learned about safe and protected sex and were taught about items such as condoms, dental dams, and other contraception.
- ➤ Worth a Shot Students who lived in France Allen Black Hall had the opportunity to have an alcohol-free Jell-O Shot if they correctly answered a question on alcohol safety.
- ➤ "Drunk" Obstacle Course The staff of Mallett Hall tried to make their way through an obstacle course while wearing vision impairment goggles and learning about alcohol safety.
- ➤ **Mocktails** Student staff in Stone Hall made mocktails (nonalcoholic cocktails) and taught students about Blood Alcohol Content (BAC) and how to calculate their own.
- ➤ Condoms and More Francis Allen Black Hall residents learned about safe sex and healthy relationships and were provided with different forms of contraception.
- ➤ Water Pong Student Staff in Purington Hall had students compete in teams for a game of water pong. Every time a resident got a ball in the other team's cup, they were given a fact on alcohol safety.
- ➤ "Drunk" Yoga Student staff in Francis Allen Black Hall led students through basic yoga poses while wearing vision impairment goggles and talking about the possible physical impacts of alcohol.
- ➤ **Know Your Limits** Student staff in Lockwood Hall led a Kahoot trivia game based on alcohol and drug safety.
- ➤ Valentine's Cards and Healthy Relationships Residents of Purington Hall learned about healthy relationships and received different resources and information on where to get support if they

- were not in a healthy relationship.
- Scott Hall Rager- Student staff in Scott Hall held a program to provide residents with information, educational resources, and general safety information in regards to the consumption of alcohol.
- ➤ Scott Hall Rager pt.2- Student staff held another alcohol safety program, handing out important safety information, educating residents on campus policies, and having a quiz to go over the information again.
- ➤ "Myths About Getting Lucky" Student staff in Scott Hall created a bulletin board with common misconceptions about sexual health, STIs, birth control, and more.
- **UMF Escort Program:** The UMF Escort Program, under the direct supervision of the UMF Director of Public Safety/Chief of Police, provides evening escort service to students, employees and guests. This service is available during night time hours and escort requests can be made by calling 207-778-7400. This service provides an escort to individuals walking from one point on campus to another point on campus.
- Printed Crime Prevention Materials: The UMF Department of Public Safety/Campus Police prints and distributes numerous brochures and handouts relating to sexual assault prevention, crime prevention, Residence Hall safety tips and emergency reporting procedures. These handouts are provided to all firstyear students at Centralized Check-In and also posted on residence hall bulletin boards.
- Crime Prevention Presentations: Officers will conduct crime prevention and substance abuse awareness programs in Residence Halls and elsewhere on campus throughout the year, upon written request to the UMF Director of Public Safety/Chief of Police. The Police Sergeant coordinating the event will meet with the faculty, staff or event organizer and develop the appropriate campus safety informational presentation, catering to the audience.
- Event Security: UMF Department of Public Safety/Campus Police works with event organizers to ensure that events are being held safely for participants. Students hired and trained as Event Security are available upon written request

and will assist Campus Police Officers at the Halloween Dance, the Spring Fling Dance, the Multi-Cultural Dance and other events during Spring Fling weekend.

Social Media: The UMF Department of Public Safety/Campus Police will post
public safety and University related notifications, events and/or status updates
on its Facebook page (University of Maine at Farmington Campus Police
Department) and Instagram (UMF Campus Police / #umfpubsafealerts). These
notifications include parking information, parking bans, road closures for events,
UMF sporting or special events being held on campus, new State Law updates,
events being held around Franklin County (non-UMF specific), etc.

University Alcohol and Drug Policy

University of Maine at Farmington observes all laws governing the use of alcohol and other drugs and does not condone violation of these laws by any student at any time. Students are held personally responsible for complying with all aspects of Maine law. The prohibitions of Maine law include, but are not limited to the following:

- The sale of alcoholic beverages by any person who does not have a license to sell such beverages in full force and effect at the time of sale.
- The sale of alcoholic beverages by any person to a person who has not attained 21 years of age.
- Consumption or purchase of alcoholic beverages by any person who has not attained 21 years of age.
- Transportation by automobile within the state of Maine of alcoholic beverages by any person who has not attained the age of 21 years.
- The furnishing of, procurement, or delivery of alcoholic beverages to a person who has not attained the age of 21 years or who is intoxicated.
- Allowing any minor under the control of another person, or in any place under the control of that person, to possess or consume alcoholic beverages.
- The presentation of any written or oral evidence of age which is false, fraudulent or not their own for the purpose of ordering, purchasing or attempting to purchase or other otherwise procuring or attempting to procure intoxicating liquor by a person who has not attained the age of 21 years.

University of Maine at Farmington does not shield its students from the law or from the consequences of their own behavior.

The University of Maine at Farmington has been designated "DRUG FREE." The possession, sale, manufacture or distribution of any controlled substances is illegal under both state and federal laws. Such laws are strictly enforced by the UMF Department of Public Safety/Campus Police.

Violators are subject to university disciplinary action, criminal prosecution, fine and/or imprisonment.

Educational sanctions are given to students who are found responsible for violating the University of Maine System *Student Code of Conduct* related to drug and alcohol policies. These sanctions include:

- An alcohol awareness and prevention seminar facilitated by the Healthy Community Coalition of Greater Franklin County
- Creation of an alcohol and/or drug information and prevention bulletin board that is put up in the residence halls
- Creation of an alcohol and/or drug information and prevention program that is put on in the residence halls
- A reflection paper and success plan describing the incident that occurred, what they learned from the incident and conduct process, and how they can prevent it from happening again in the future.
- Community service that relates to the policy violated and that helps pay back to the community that was affected by the policy violation.

Alcohol Awareness and Education

The UMF Department of Student Life engages in numerous alcohol education and awareness activities. Information is conveyed to students through residence hall newsletters, hall and floor meetings and bulletin boards. The UMF Department of Student Life offered and/or sponsored numerous alcohol awareness programs (see list above for specific events). Community Assistants in every hall offered programs, such as looking at the physical and social effects of alcohol and possible legal ramifications with drinking and driving. Alcohol educational bulletin boards are displayed in the halls to provide policy and education information.

Policy on Alcohol and Illegal Drugs for Employees

The UMF Department of Public Safety/Campus Police is committed to providing a safe and orderly environment for all members of the campus community. To accomplish this

goal, the University advises all members that it is unlawful to manufacture, distribute, dispense, possess and/or use illegal drugs on UMF property. Any violation of this policy or state and federal laws regarding drugs may result in prosecution or other campus disciplinary action. The University of Maine at Farmington views alcohol and substance abuse as a serious problem. In compliance with the Drug Free Schools and Communities Act and the Drug Free Workplace Act, the University publishes and distributes annually a booklet which informs all students and employees of UMF's substance abuse policy, sanctions for violation of the policy, and state and federal alcohol and drug offenses and sanctions. For a copy of the booklet, "Alcohol and Drugs, What every Student and Employee Should Know about the Use and Abuse of Alcohol and Illegal Drugs," contact the UMF Human Resources Department, 207-778-7271.

Employee violations of these policies will be reported to the Director of Human Resources. In the case of members of the faculty found to be in violation, a report will also be filed with the Provost and Vice President for Academic Affairs for review and action. Employees are subject to appropriate sanctions that may include referral to standing procedure for termination of employment.

Unlawful possession, use or distribution of illicit drugs or alcohol may involve the above sanctions, according to the severity of the infraction and the kind of involvement of the employee. All employees involved may be required to undergo professional assessment by a substance abuse professional designated by the University to determine whether they are involved in substance abuse or addiction. In case of dependency, the University may treat the case as a disability.

Policy Statement Addressing Counselors

As a result of the negotiated rulemaking process which followed the signing into law the 1998 amendments to 20 U.S.C. Section 1092 (f), clarification was given to those considered to be campus security authorities. Campus "Professional Counselors", when acting as such, are not considered to be campus security authorities and are not required to report crimes for inclusion into the annual disclosure of crime statistics. As a matter of policy, they are encouraged, if and when they deem it appropriate, to inform persons being counseled of the procedures to report crimes on a voluntary basis for inclusion into the annual crime statistics.

Professional Counselor: A person whose official responsibilities include providing mental health counseling to members of the institution's community and who is functioning within the scope of the counselor's license or certification. This definition applies even to professional counselors who are not employees of the institution, but are under contract to provide counseling at the institution.

UMF does not provide confidential *pastoral counseling* or support at this time.

The professional counselors at the University of Maine at Farmington are provided by the office of Mental Health and Counseling Services, and counselors are supervised by the Interim Director, Sarah Carnahan, LCSW. Counseling Center Staff have participated in the following coalitions and events to assist the University with alcohol and substance abuse awareness:

- ➤ Substance Free Community Coalition Coordinated by the Healthy Community Coalition.
- ➤ The Director of Counseling Services participated in the NCO Tobacco Referral Training.

Timely Warnings

In compliance with the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act), the UMF Department of Public Safety/Campus Police will post timely warnings to notify members of the community about serious crimes that occur on campus. Knowledge of such crimes will assist community members in making informed decisions about their personal safety and in preventing similar crimes from occurring. These warnings will be posted if a serious crime on campus constitutes an ongoing or continuing threat to the community.

The decision to issue a timely warning shall be decided on a case-by-case basis in compliance with the Clery Act. The decision is made by the Incident Commander for the UMF Emergency Operations Center, the Vice President of Student Affairs and Enrollment Management and the Director of Public Safety/Chief of Police after considering all available facts, including whether the crime is considered a serious threat to students or employees and the risk of compromising law enforcement efforts. Consultation with the University of Maine System Legal Counsel Team on the issuance of a Timely Warning may occur.

University of Maine at Farmington Department of Public Safety/Campus Police issues timely warnings for the following incidents:

- Homicide
- Sex Offenses
- Aggravated assault
- Robbery
- Burglary
- Motor Vehicle Theft
- Arson
- Other crimes as determined necessary by the Director of Public Safety/Chief of Police or Vice President for Student Affairs and Enrollment Management.

University of Maine at Farmington Department of Public Safety/Campus Police does NOT issue a timely warning for the above listed crimes if:

- The suspect(s) has (have) been apprehended and the threat of imminent danger to the campus community has been mitigated by said apprehension.
- If a report was not filed with UMF Department of Public Safety/Campus Police or if UMF Department of Public Safety/Campus Police was not notified by campus security authorities in a manner that would allow the department to post a "timely" warning to the community.
- Unless there are extenuating circumstances, a report that is filed more than five
 days after the date of the alleged incident may not allow the UMF Department of
 Public Safety/Campus Police to post a "timely" warning to the community. This
 type of situation will be evaluated on a case-by-case basis.

A timely warning and updates may be distributed to the campus through any one of more of the following mechanisms:

- · **MyCampus**: UMF's online directory/source of information of everything that a campus community member may need.
- · **Directed Communications TEXT / EMAIL**: University of Maine Farmington email system alerting students, faculty, and staff.
- · **Flyers**: posted on bulletin boards, exterior doors in academic buildings, residence halls, outdoor boards and administrative buildings.

- · University of Maine at Farmington Department of Public Safety/Campus Police website: https://www.umf.maine.edu/publicsafety/
- · University of Maine at Farmington Emergency Notification System (VISIPLEX): The Visiplex Notification System has been installed in both internal and external locations throughout campus and is used when there is imminent danger to the community. If the notification system is activated, the campus community will be issued specific instructions on how to react to the incident in question. Other messaging systems will also be used with the Visiplex System (I.e., the email or Blackboard Connect text alerts) to assist with providing these instructions. The audible horn located on top of the Public Safety building is still operational and can be used as well.

A copy of the timely warning will be filed in the corresponding case file.

The UMF Department of Public Safety/Campus Police maintains a daily crime and fire log that contains all crimes and fires reported to the department. These can be viewed at the Public Safety/Campus Police office upon request to the Director of Public Safety/Chief of Police.

Daily Crime Log

The UMF Department of Public Safety/Campus Police maintains a Daily Crime Log of reported crimes. This report includes the nature of the crime, date and time, and general location, along with the status of the complaint. Entries or updates are made within two business days. This log is available at the UMF Department of Public Safety/Campus Police, upon written request to the UMF Director of Public Safety/Chief of Police.

Campus Security Authorities

A Campus Security Authority (CSA) is any individual or individual who has some responsibility for campus security, but who does not constitute a campus security officer. This includes officials of the University who have significant responsibility for student and campus activities, including, but not limited to, student housing, student discipline, and campus judicial proceedings.

The Current UMF Campus Security Authorities are listed below; the *positions* are CSAs no matter who is serving in them.

Department of Public Safety/Campus Police

207-778-7400

149 Quebec Street

(Camps Police Officers, Staff, Student Employees)

Chief Brock Caton (Director of Public Safety/Chief of Police)

Mrs. Julie Bartlett (Public Safety Administrative Specialist CLII)

Full-time Officers

Sergeant Wayne Drake (Assistant Director)

Sergeant Marc Bowering

Officer Adam Welch

Vacant (full-time police officer)

Reserve Police Officers (Part-Time)

Officer Robert Samson

Officer Shane Cote

Officer Ernest Steward Jr.

Officer Mike Adcock

Officer Ernest Steward III

Officer Billie Hatfield

Officer Joseph Sage

Officer Drew Holston

Officer Matthew Billian

Officer Walter Fails

Athletics Department

207-778-7147

163 High St

(Directors, Associate and Assistant Directors, and Coaches)

Jamie Beaudoin, Director of Athletics

Rebecca Brinegar, Administrative Specialist

Hattie Huston, Head Field Hockey Coach

Mary Sinclair, Assistant Field Hockey Coach

Chris Bessey, Head Baseball Coach, Asst. Sports Information

Sam Leal, Head Men's Basketball Coach

Nate Carson, Head Women's Basketball Coach

Noah Carroll, Assistant Women's Basketball Coach

Sean Cabaniss, Head Men's & Women's Cross Country and Track & Field Coach

Benjamin Toribio, Assistant Men's & Women's Track and Field Coach

Robbie Hollis, Assistant Men's & Women's Cross Country & Track and Field Coach

Will Barron, Assistant Men's & Women's Track & Field Coach

Bob Timmins, Head Coach Golf

Vacant, Head Women's Lacrosse Coach

Andrew Willihan, Snow Sports Director and Head Men's/Women's Alpine Coach

Merit "Buzz" Bean, Associate Head Coach Men's & Women's Nordic Ski

Blake Hart, Head Coach Men's Soccer

Derek Mclaughlin, Assistant Coach Men's Soccer

Jake Heimlich, Assistant Men's Soccer Coach

Molly Wilkie, Assistant Athletic Director, Diversity and Inclusion, Head Coach Women's Soccer

Callie Hammer, Assistant Coach Women's Soccer

Alyssa Williamson, Head Coach Women's Softball

Kiana Thompson, Assistant Coach Women's Softball

Ryan Thompson, Athletics Health Administrator, Head Athletic Trainer

Nigel Fung-A-Fat, Certified Athletic Trainer/Strength and Conditioning Coach

Mick Kaminsky, Certified Athletic Trainer/Instructor

Jason Brooks, Director of Athletics Communication and Athletics Eligibility Officer

Sodexo Dining Services

207-778-7358

111 South St

(Managers)

Adam Vigue, General Manager

Tamara Hartley, Catering Manager

Department of Student Life

207-778-7334

111 South St

[Director, Assistant Directors, Graduate Area Directors / Coordinators and Community Assistants (Community Assistants are student staff members / workers)]

Student Life Staff: Frances Allen Black Hall

207-491-1395

126 Lincoln Street

Sarah Carew, Area Director

Student Life Staff: Purington Hall

207-779-7121

172 High Street

Sarah Carew, Area Director

Student Life Staff: Mallett Hall

207-491-2769

180 High Street

Sarah Carew, Area Director

Student Life Staff: Stone Hall

207-491-7330

115 Maguire Street

Sarah Carew, Area Director

Student Life Staff: Dakin Hall 207-779-6351

117 Perkins Street

Sarah Carew, Area Director

Closed to residential living as it served as the quarantine / isolation facility during Covid-19 during Spring 2022 Semester (re-opened for Fall 2022 Semester)

Student Life Staff: Lockwood Hall 207-779-4946

111 Perkins Street

Sarah Carew, Area Director

Closed to residential living for renovations during 2022 - 2023 Academic Year

Student Life Staff: Scott Hall North 207-462-0389

245 Main Street

Duncan Farley, Area Director

Student Life Staff: Scott Hall South 207-462-0389

251 Main Street

Duncan Farley, Area Director

Student Life Staff: Scott Hall West 207-462-0389

249 Main Street

Duncan Farley, Area Director

Center for Student Involvement 207-778-7348

111 South Street

Dori Beane, Assistant Director of Housing & Student Engagement

The Landing 207-778-7348

111 South Street

Judy Peary-Adams, Department of Student Life Administrative Specialist

Conference & Events 207-778-7344

111 South St.

Ernestine Hutchinson, Coordinator of Conferences and Events

Student Leadership and Service 207-778-7593

117 South Street

Kirsten Swan, Director of Student Leadership and Service

Student Services / Employment 207-778-7107/7103

224 Main St.

Joseph Toner, Financial Aid and Merrill Center

VP for Student Affairs / Enrollment Management 207-778-7087

224 Main St

Christine Wilson, Vice President for Student Affairs and Enrollment Management

VP for Academic Affairs 207-778-7154

224 Main St.

Vacant, Provost / Vice President for Academic Affairs

Fitness and Recreation Department 207-778-7495

152 Quebec St.

Director, Assistant Directors, and Coordinators

Benjamin White, Director of Fitness and Recreation

Allison Thayer, Associate Director

Jennifer Pageot, Assistant Director

Michael Colella, Assistant Director

Experiential and Global Education 207-778-7292 / 7122

252 Main St

Linda Beck, Associate Dean of Experiential and Global Education

Lynne Eustis, Assistant Director of Global Education

AA/EEO and Deputy Title IX Coordinator

207-592-3618

Amie Parker, Director of Equal Opportunity & Deputy Title IX Coordinator

TRIO Programs: Upward Bound / Johnson Scholars 207-778-7297

252 Main St

Lynn Ploof-Davis, Director of TRIO Programs

Ashlee Fecteau, Assistant Director of Johnson Scholars

Camille Blanchet, Learning Specialist/Academic Advisor Johnson Scholars

Sydney Brown, Learning Specialist/Academic Advisor Johnson Scholars

Elyse Pratt-Ronco, Assistant Director of Upward Bound

Mary Sinclair, Student Services Coordinator of Upward Bound

Maine Jazz Camp

718-499-9051

PO Box 150-597

Brooklyn, NY

Christine Correau

Adam Walsh Child Protection and Safety Act

Information regarding registered sex offenders in the State of Maine under section 121 of the Adam Walsh Child Protection and Safety Act of 2006 (42 U.S.C. 16921) may be obtained by contacting the UMF Department of Public Safety/Campus Police or at the State of Maine Sex Offender Registry at http://sor.informe.org/sor/.

Missing Student Notification

If a student has been missing for 24 hours, we encourage concerned parties to contact the UMF Department of Public Safety/Campus Police at 207-778-7400.

Any missing student report shall be referred immediately to the UMF Department of Public Safety/Campus Police, or, in the absence of an institutional police or campus security department, to the local law enforcement agency that has jurisdiction in the area.

Each student may identify a contact person or persons whom the University shall notify within 24 hours of the determination that the student is missing, if the student has been determined missing by the UMF Department of Public Safety/Campus Police, or the local law enforcement agency. Contact information will be registered confidentially and will be accessible only to authorized campus officials, and may not be disclosed, except to law enforcement personnel in furtherance of a missing person investigation. If a student is under 18 years of age and not emancipated, the University must notify a custodial parent or guardian within 24 hours of the determination that the student is missing, in addition to notifying any additional contact person designated by the student.

The University will notify the local law enforcement agency within 24 hours of the determination that the student is missing, unless the local law enforcement agency was the entity that made the determination that the student is missing.

HUMAN RESOURCES AND LABOR RELATIONS

Section 402 Sex Discrimination, Sexual Harassment, Sexual Assault, Relationship

Violence, Stalking and Retaliation and Title IX Sexual Harassment

Effective: 3/26/90

Last Revised: 11/16/98; 11/17/14; 5/18/15, 8/14/2020, 4/21/2022

Responsible Office: Human Resources

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XVII. Review and Revision of this Policy

**Please note: The reader will find sections within this document that are specific to each campus; each campus will have a version of this policy that contains more detailed information specific to that campus. These sections will be identified in the text with

instructions to contact the <u>Title IX Coordinator on the campus of interest</u> for this information.

I. Policy Statement

The University of Maine System ("UMS" or "the University") is committed to providing a safe environment which promotes the dignity and worth of each member of the community. In complying with the letter and spirit of applicable laws and in pursuing its own goals of diversity, the University does not discriminate on the basis of sex in employment, education, and all other programs and activities. UMS, inclusive of all its campuses and faculties, does not discriminate on the basis of sex, race, color, religion, age, disability, status as a veteran, national or ethnic origin, sexual orientation, transgender status, gender identity, gender expression, or any other category protected by applicable law, in the administration of its educational policies, admission policies, scholarship and loan programs, employment, or other school administered programs. For this reason, the University will not tolerate sex discrimination, sexual harassment, sexual assault, dating violence, domestic violence, stalking, or retaliation in any form. All conduct of this nature is considered a violation of this policy.

This policy addresses discrimination on the basis of sex. UMS do not discriminate on the basis of sex in its education programs or activities, and UMS is required by Title IX of the Education Amendments of 1972, and the final Title IX regulations issued by the U.S. Department of Education's Office for Civil Rights in May 2020, not to discriminate in such a manner. The requirement not to discriminate on the basis of sex in the education program or activity extends to admission and employment. Inquiries about the application of Title IX and its implementing regulations may be referred to the Title IX Coordinator, to the Assistant Secretary for Civil Rights, or both.

The University will respond to complaints and reports of violations of this policy in a prompt, fair, impartial and equitable manner. Regardless of whether a complaint is filed, the University will respond promptly and reasonably when it has notice of potential sexual misconduct that is covered by this policy. The University will take steps to end and prevent recurrence of violations of this policy and to correct their discriminatory effects on the complainant and others when a determination of responsibility has been made against a Respondent. In responding to all complaints and reports, the University will act to ensure the safety of students, guests, and employees

while complying with state and federal laws and provisions of applicable collective bargaining agreements and employee handbooks.

II. Overview

This policy applies to all members of the University community, including but not limited to all students (graduate and undergraduate), all employees (including faculty and staff), adjunct faculty, contractors, vendors and/or other third parties. This policy applies to all individuals regardless of gender, sexual orientation, transgender status, gender identity, or gender expression. It applies to all University programs and activities, both on campus and off campus, including, but not limited to, instruction, grading, housing, athletics, electronic communication and employment.

This policy does not substitute for or supersede related civil and criminal law. It is the policy of the University to strongly encourage individuals to report all incidents and violations to law enforcement officials or agencies with appropriate jurisdiction and to avail themselves of all the services and rights to which they are entitled.

Any individual may file a complaint under this policy at any time. However, the University strongly encourages individuals to file complaints promptly in order to preserve evidence for a potential legal or disciplinary proceeding. A delay in filing a complaint may compromise the subsequent investigation. Reporting in a timely way also allows the University to provide all persons involved with information regarding their rights, options, and resources available under this policy and federal or state laws.

All members of the University community are encouraged to cooperate fully in investigations and other proceedings necessary for the effective execution of this policy, though no individual will be retaliated against for exercising their rights under this policy including their right not to participate in an investigation and/or adjudication process.

This policy covers the following information:

- What to do if you are sexually assaulted or are the victim of domestic violence, dating violence or stalking;
- Common terms used in this policy;
- Consent;

- How and where to file a complaint;
- How the University will respond to a complaint;
- Confidentiality;
- Supportive measures that are available;
- Options regarding reporting to Law Enforcement;
- Protection orders;
- Student Amnesty;
- Free Speech and Academic Freedom;
- Off-campus conduct;
- Educational programs;
- External complaints;
- Review and revision of this policy.

III. What to do if you are Sexually Assaulted or Experience Domestic Violence,

Dating Violence or Stalking

- Get to a safe location. If you are a student and are unsure where to go or can think of nowhere that is safe at this time, please consider calling your campus Public Safety or Security Department at your campus (contact information for campus Public Safety or Security Department available here (PDF)) and/or local law enforcement at 911. Campus Residence Life or Student Affairs staff can help with housing arrangements as well.
- Consider asking a trusted friend or relative to be with you for support.
- Seek medical care as soon as possible. You may need basic medical treatment for injuries, and you may have injuries of which you are unaware. You also may be at risk of acquiring a sexually transmitted infection, and women may be at risk for pregnancy. Trained staff at your campus Health Center or the local emergency room can speak with you about all of the medical options available and provide information about a sexual assault forensic examination.
- You may choose to file a report with the local law enforcement agency. Your
 report puts in place support systems that you may choose to use. The University
 will provide someone to assist you in filing a report with Law Enforcement if
 you wish.
- Preserve all evidence of the incident. If you choose to file a report with the police, it is important that you:

- Do not bathe, wash your hands, brush your teeth, drink, eat, or even use the restroom—all these things can destroy evidence that may be helpful in a criminal investigation; however, if you have done any of these things since the incident, evidence can still be collected;
- Do not clean or remove anything from the location where the incident occurred;
 Write down as much as you can recall about the incident and the people involved.
- Seek some form of emotional support. While taking care of your physical needs
 may be the first step in taking care of yourself, it is important not to neglect the
 emotions you may be experiencing as a result of the assault, violence or stalking.
 University counseling services have employees who are specially trained to
 assist students with recovery and healing. CIGNA EAP services are available for
 employees at 1.877.622.4327.
- It is your choice to determine when and in what manner you recover from your trauma. Give yourself the time you need and know that it is never too late to get help.
- KNOW THAT WHAT HAPPENED WAS NOT YOUR FAULT AND YOU ARE NOT ALONE.
- For details about all of the available resources on your campus and in your community, see the campus brochure regarding sexual assault, dating violence, domestic violence and stalking at (contact information for available resources on your campus and in your community available here (PDF)).

IV. Terms Used in this Policy

Sexual Harassment includes two distinct, but overlapping definitions applicable to this policy. The Title IX Regulations define sexual harassment as set forth in Section XVI of this policy. Consistent with Title VII of the Civil Rights Act of 1964 and the recognition that Sexual Harassment may also occur in a wider variety of contexts, UMS defines Sexual Harassment as:

A. Sexual Harassment

Sexual harassment includes unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature, including sexual assault and sexual violence. Sexual harassment, including sexual assault, can involve persons of the

same or opposite sex. Consistent with the law, this policy prohibits two types of sexual harassment:

- 1. Tangible Employment or Educational Action (quid pro quo): This type of sexual harassment occurs when the terms or conditions of employment, educational benefits, academic grades or opportunities, living environment or participation in a University activity are made an explicit or implicit condition of submission to or rejection of unwelcome sexual advances or requests for sexual favors, or such submission or rejection is a factor in decisions affecting an individual's employment, education, living environment, or participation in a University program or activity. Generally, a person who engages in this type of sexual harassment is an agent or employee with some authority conferred by the University.
- 2. Hostile Environment: Sexual harassment that creates a hostile environment is based on sex and exists when the harassment:
 - 1. Is subjectively and objectively offensive; and
 - 2. Is so severe or pervasive as to alter the conditions of a person's employment, education or living situation that it creates an abusive working, educational or living environment.

A hostile environment can be created by anyone involved in a university program or activity, such as an administrator, faculty or staff member, student, or campus guest. Offensiveness alone is not enough to create a hostile environment. Although repeated incidents increase the likelihood that a hostile environment has been created, a single serious incident, such as a sexual assault, can be sufficient.

Determining whether conduct creates a hostile environment depends not only on whether the conduct was unwelcomed to the person who feels harassed, but also whether a reasonable person in a similar situation would have perceived the conduct as objectively offensive. The following factors will also be considered:

- 1. The degree to which the conduct affected one or more students' education or individual's employment;
- 2. The nature, scope, frequency, duration, and location of the incident(s);
- 3. The identity, number, and relationships of persons involved;

- 4. The nature of higher education.
- 5. whether the conduct arose in the context of other discriminatory conduct;
- 6. whether the conduct altered the conditions of the Complainant's educational or work performance and/or UMS programs or activities;
- 7. whether the conduct implicates academic freedom or protected speech; and,
- 8. other relevant factors that may arise from consideration of the reported facts and circumstances.

B. Sexual Assault

Sexual assault means an offense that meets the definition of rape, fondling, incest, or statutory rape.

Rape is the penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.

Fondling is the touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity.

Incest is sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.

Statutory rape is sexual intercourse with a person who is under the statutory age of consent. All forms of <u>sexual assault (External Site)</u> and sexual contact prohibited by Maine law are also included.

C. Dating Violence

Dating violence is violence committed against a person by an individual who is or has been in a social relationship of a romantic or intimate nature with that person. Whether a dating relationship exists is determined based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the

frequency of interaction between the persons involved in the relationship. Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic violence. All forms of dating violence prohibited by Maine law are also included (see <u>Assault (External Site)</u>).

D. Domestic Violence

A felony or misdemeanor crime of violence committed —

- 1. By a current or former spouse or intimate partner of the victim;
- 2. By a person with whom the victim shares a child in common;
- 3. By a person who is cohabitating with, or has cohabitated with, the victim as a spouse or intimate partner;
- 4. By a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred, or
- 5. By any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

All forms of domestic violence prohibited by Maine law are also included (see <u>Domestic Violence Assault (External Site)</u>, <u>Domestic Violence Criminal Threatening (External Site)</u>, <u>Domestic Violence Stalking (External Site)</u>, <u>Domestic Violence Stalking (External Site)</u>, and <u>Domestic Violence Reckless Conduct (External Site)</u>).

E. Stalking

Stalking is engaging in a course of conduct directed at a specific person that would cause a reasonable person to —

- (A) Fear for the person's safety or the safety of others; or
- (B) Suffer substantial emotional distress.

- (ii) For the purposes of this definition –
- (A) Course of conduct means two or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.
- (B) Reasonable person means a reasonable person under similar circumstances and with similar identities to the victim.
- (C) Substantial emotional distress means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

All forms of stalking prohibited by Maine law are also included (<u>Stalking (External Site)</u> and <u>Domestic Violence Stalking (External Site)</u>).

F. Retaliation

Retaliation is action taken by the University or any individual or group against any person for opposing any practices forbidden under this policy or for filing a complaint, testifying, assisting, or participating in an investigation or proceeding under this policy. This includes action taken against a bystander who intervened to stop or attempt to stop a violation of this policy. Retaliation includes intimidating, threatening, coercing, or in any way discriminating against an individual because of the individual's complaint or participation. Action is generally deemed retaliatory if it would deter a reasonable person in the same circumstances from opposing practices prohibited by this policy.

G. Sexual Misconduct

Sexual misconduct includes, but is not limited to, prostituting another person, nonconsensual image capturing of sexual activity, presentation or unauthorized viewing of a nonconsensual videotaping of sexual activity, letting others watch you have sex without the knowledge or consent of your sexual partner, possession of child pornography, peeping tommery, and/or knowingly transmitting an STD or HIV to another person. Sexual misconduct may constitute sexual harassment. All forms of

sexual misconduct prohibited by Maine law are also included (see <u>Violation of Privacy</u> (<u>External Site</u>), <u>Possession of Sexually Explicit Material (External Site</u>), and <u>Sex Trafficking (External Site</u>).

V. Consent

Consent is a voluntary agreement to engage in sexual contact. Consent must be informed, freely and actively given, and consist of a mutually agreeable and understandable exchange of words or actions. Consent is agreement to engage in sexual contact. Consent must be informed, freely and actively given, and consist of a mutually agreeable and understandable exchange of words or actions. Consent is clear, knowing and voluntary. Consent is active, not passive. Consent may be withdrawn at any time. Silence, in and of itself, cannot be interpreted as consent. Consent can be given by words or actions, as long as those words or actions create mutually understandable clear permission regarding willingness to engage in (and conditions of) sexual activity. Past consent does not imply future consent. Consent to engage in one form of sexual activity does not imply consent to engage in any other sexual activity. Consent to engage in sexual activity with one person does not imply consent to engage in sexual activity with any other person.

It is not consent when the exchange involves unwanted physical force, coercion, intimidation, and/or threats. If an individual is mentally or physically incapacitated or impaired such that one cannot understand the fact, nature or extent of the sexual situation, and the incapacitation or impairment is known or should be known to a reasonable person, there is no consent. This includes conditions resulting from alcohol or drug consumption, or being asleep or unconscious. Consent is not valid if the person is too young to consent to sexual activity under Maine law (External Site).

VI. How to File a Complaint and How the University Will Respond

The University strongly encourages anyone who has experienced sex discrimination, sexual harassment, retaliation, sexual assault, domestic violence, dating violence or stalking to report the incident to the appropriate contact at the University (see VI (A)). A report can be made under this policy at any time, regardless of when the incident happened. Reporting the incident to the University does not mean that you have to file a formal complaint or bring criminal charges. Reporting the incident, however, will

allow the University to provide individuals involved with information about available support and services, both on campus and off campus.

Any individual may make a third-party complaint about a violation of this policy. Individuals are encouraged to contact the appropriate office identified below as soon as possible. After receiving a complaint, the University will determine what further action, including contacting the alleged victim, is warranted. If a concern is reported by someone other than the alleged victim and the alleged victim is unwilling or unable to cooperate with an investigation, the University's ability to respond may be significantly limited.

The UMS Title IX Coordinator is responsible for the University's overall compliance and response to incidents of sexual assault, sexual harassment and sex discrimination in general.

A. How and Where to File a Complaint

1. Complaints or Reports of Employees' Conduct

All complaints or reports relating to violations of this policy by a university employee should be made to the UMS Title IX Coordinator at 207.581.5866 or titleix@maine.edu or to the Equal Opportunity Office at 207.581.1226 or equal.opportunity@maine.edu

Upon receiving a complaint or report of a violation of this policy by a University employee, the UMS Title IX Coordinator will assess the complaint or report and will follow the procedures described in the University of Maine System Equal Opportunity Complaint Procedure or the Title IX Sexual Harassment Procedure. The UMS Title IX Coordinator will provide the complainant with information about options for filing a formal complaint and explain the formal investigation and grievance process, supportive measures, and any options of informal resolution. The UMS Title IX Coordinator will provide the complainant with a written explanation of the complainant's rights, options, and supportive measures. Supportive measures are available to complainants even if they do not file a formal complaint. When a formal complaint is investigated, the University will use a preponderance of the evidence standard – whether it is more likely than not that the alleged violation occurred. Click here for more information about the Equal Opportunity Complaint Procedure, follow

this link: For more information about the <u>Title IX Sexual Harassment Process</u>, follow the link in Article XVI (4) of this Policy.

2. Complaints or Reports of Students' Conduct

All complaints or reports relating to violations of this policy by a University student should be made to Student Conduct or other responsible office at your campus (contact information for Student Conduct or other responsible office on your campus available here (PDF)), who will notify the Title IX Coordinator of the complaint or report.

Upon receiving a complaint or report of a violation of this policy by a University student, the Deputy Title IX Coordinator/Title IX Coordinator will assess the complaint or report and follow the procedures described in the University of Maine System Student Conduct Code or the Title IX Sexual Harassment Process. The Deputy Title IX Coordinator/Title IX Coordinator will provide the complainant with information about options for filing a formal complaint and explain the formal investigation and grievance process, offer supportive measures, and explain any options of informal resolution. The Deputy Title IX Coordinator/Title IX Coordinator will provide the complainant with a written explanation of the complainant's rights and options. When a formal complaint is decided, the University will use a preponderance of the evidence standard – whether it is more likely than not that the alleged violation occurred. For more information about the procedure for adjudicating complaint against students, see the UMS Student Conduct Code or the Title IX Sexual Harassment Process.

3. Complaints or Reports of Third Parties' Conduct (Campus Guests, Vendors, Contractors, etc.)

All concerns regarding violations of this policy by third parties such as vendors, contractors and campus guests should be made to the UMS Title IX Coordinator at 207.581.5866 or titleix@maine.edu or to the Equal Opportunity Office at 207.581.1226 or equal.opportunity@maine.edu

Upon receipt of a report or complaint, the University will respond appropriately depending on the nature of its relationship to the third party.

B. How the University Will Respond to a Complaint

The University's investigation and decision-making process is completely independent of any criminal or civil investigation and adjudication regarding the same incident.

The University will provide a prompt, fair, and impartial investigation and resolution of the complaint. The investigation and decision-making shall be conducted by officials who receive annual training on discrimination, harassment, retaliation, domestic violence, dating violence, sexual assault, and stalking, and how to conduct an investigation and hearing process that protects the safety of all individuals and promotes accountability.

Both the complainant and the respondent have the right to have another present during any investigative or disciplinary meeting or proceeding, including the opportunity to be accompanied to any related meeting or proceeding by an advisor of their choice, who may be, but is not required to be, an attorney. The University will not limit the choice or presence of an advisor for either the complainant or respondent in any meeting or grievance proceeding; however, the University may establish restrictions regarding the extent to which the advisor may participate in the meetings or proceedings, as long as the restrictions apply equally to both parties.

In investigating allegations covered by the Policy, the investigator and decision-makers will not question the complainant about the complainant's prior sexual conduct with anyone other than the respondent unless relevant to establish that someone else committed the conduct alleged to be a violation of this policy. Information regarding any prior sexual conduct or dating relationship between the complainant and the respondent by itself shall not imply consent or preclude a finding of a violation, but may be relevant to understand the nature and context of the parties' relationship and how consent to sexual activity was communicated between the parties. No direct questioning of the either party by the other will be permitted, though as set forth in the Title IX sexual harassment process, the parties' advisors have the opportunity to cross-examine the other party and witnesses during the hearing process.

The respondent's use of alcohol and other drugs in connection with a violation of this policy does not mitigate accountability for the behavior or diminish the seriousness of the incident, unless it is determined that the respondent was incapacitated and unable to consent to the sexual activity at issue. The respondent's intentional use of a substance to affect an individual in order to facilitate a violation of this policy will be considered relevant when determining responsibility and appropriate sanctions.

In the investigation and decision-making processes for all complaints of sexual assault, domestic violence, dating violence or stalking made under this policy, both the complainant and the respondent shall be simultaneously informed, in writing, of: (1) the outcome of any institutional disciplinary proceeding that arises from the alleged violation including all sanctions and the rationale for the result and sanctions; (2) the procedure for the respondent and the complainant to appeal the results of the institutional disciplinary proceeding; (3) any change to the results that occurs prior to the time that they become final; and (4) when the results are final. The University shall not require a party to abide by a non-disclosure agreement in writing or otherwise regarding the final results of the institutional disciplinary proceeding.

Any student or employee found to have violated this policy may be subject to disciplinary action, up to and including suspension or dismissal/termination from the University. Sanctions for third parties who violate this policy will be in accordance with the circumstances.

For information about sanctions, students should refer to the <u>University of Maine</u> <u>System Student Conduct Code</u>. All of the possible sanctions that the University may impose upon a student following the results of any University disciplinary proceeding for an allegation of dating violence, domestic violence, sexual assault or stalking are:

- 1. Assigned Educational Projects: This may include research projects, reflective essays, counseling assessments, sanction seminars or other related assignments intended to promote learning.
- 2. Community Service: The type of service may be related to the nature of the violation.
- 3. Deferred Sanction: A specific period of time during which a Respondent's continued enrollment or housing contract at the University is clearly in jeopardy. Any further violation of the Code during that time will minimally result in the imposition of the deferred sanction and any additional sanctions deemed necessary.
- 4. Disciplinary Dismissal: Permanent separation (subject to the right of review after five years) from the University.
- 5. Disciplinary Probation: A period of time when a Respondent is under closer scrutiny of the University. It may include the loss of one or more privileges.

- 6. Disciplinary Suspension: Separation from the University for a stated period of time and/or until a stated condition(s) is met.
- 7. Fine: Payment of money. Respondents who are unable to pay may discuss alternate payment arrangements.
- 8. Loss of Contact with a Specific Person(s): With this sanction, the person may not initiate direct or indirect contact with a specified person(s).
- 9. Loss of Visitation Privileges: This loss of visitation may be to any designated area(s) of campus.
- 10. Official Warning: Official acknowledgment of a violation and the expectation that it will not be repeated.
- 11. Removal from University Housing: Removal from a particular hall or all housing.
- 12. Restitution: Restitution, up to the replacement value of the items damaged, stolen, removed or used without authority and damages incurred.
- 13. Such other action as the Committee or Officer may reasonably deem appropriate (e.g., suspension of an organization's official campus recognition or suspension of a student from an extracurricular activity).

For information about possible disciplinary action, represented employees should refer to the <u>appropriate collective bargaining agreement</u>. Non-represented employees should refer to the <u>non-represented employee handbook (See Employee Handbooks)</u>. All of the possible sanctions that the University may impose upon an employee following the results of any University disciplinary proceeding for an allegation of dating violence, domestic violence, sexual assault or stalking are:

- 1. Oral Warning
- 2. Written Warning
- 3. Suspension
- 4. Termination
- 5. Such other action as the University may reasonably deem appropriate.

C. Retaliation

The University and the law prohibit retaliation against an individual for opposing any practice forbidden under this policy, for bringing a complaint, for assisting someone with a complaint, for attempting to stop a violation of this policy, or for participating or for refusing to participate in any manner in an investigation or resolution of a complaint. It is central to the values of this University that any individual who believes he or she may have been the target of a violation of this policy feels free to report their concerns for appropriate investigation and response, without fear of retaliation or retribution. After making, assisting with or otherwise participating in a report or complaint to the University, any individual who believes he or she has been subjected to retaliation by the respondent, the University or any other person or group should report the alleged retaliation immediately to the appropriate person identified in this policy. The University will take strong responsive action when retaliation is found to have occurred.

VII. Confidentiality

Confidentiality: What are the Options?

The University encourages people who have experienced sex discrimination, sexual harassment, sexual assault, dating violence, domestic violence, stalking, or retaliation to talk to somebody about what happened so they can get the support they need, and so the University can respond appropriately. People on campus and off-campus have different abilities to maintain confidentiality depending on their roles and responsibilities.

A. Confidential Communications

1. Professional and Pastoral Counselors

Professional, licensed individuals who provide mental health services as part of their job responsibilities (including those who act in that role under the supervision of a licensed professional) and pastoral counselors (clergy, ministers, priests, rabbis, etc.) who provide religious counseling to members of the school community are not required to report any information about an incident to the Title IX Coordinator without an individual's permission provided that such information was disclosed in a counseling context. A person can seek assistance and support from these individuals without triggering a university investigation that could reveal the person's identity or that the

person has disclosed the incident. This does not apply to professional, licensed individuals who receive a report outside of their licensed duties, such as when acting in a teaching capacity. Following is the contact information for these individuals at your campus: Contact Information for Professional and Pastoral Counselors (PDF)

Speaking with a professional or pastoral counselor does not constitute a report or complaint to the University. The University will be unable to conduct an investigation into the incident or pursue disciplinary action against the respondent based on such a disclosure.

NOTE: Professional counselors may maintain a person's confidentiality within the University, but they may have external reporting or other obligations under state law (such as mandatory reporting to law enforcement in case of abuse of minors; imminent harm to self or others; requirement to testify if subpoenaed in a criminal case). A person who initially requests confidentiality may later decide to file a complaint with the University or report the incident to local law enforcement and have the complaint investigated. Professional and pastoral counselors can assist a person who later decides to file a complaint or report.

2. Licensed Health Professionals

Certain licensed health professionals who receive information about an incident in connection with the provision of health care services may not report that information to the Title IX Coordinator without an individual's permission provided that such information was disclosed in a healthcare setting. However, some licensed health professionals who are designated as Campus Security Authorities may be required to report non-identifying statistical information to the University as required by federal law. Speaking with a licensed healthcare professional does not constitute a report or complaint to the University, and the University will be unable to conduct an investigation into the incident or pursue disciplinary action against the respondent.

NOTE: Licensed health professionals may maintain a person's confidentiality within the University, but they may have external reporting or other obligations under state law (such as mandatory reporting to law enforcement in case of abuse of minors).

3. Off-campus Counselors and Advocates

Off-campus counselors, advocates, and health care providers will also generally maintain confidentiality and not share information with the University unless the individual requests the disclosure and signs a consent or waiver form.

Following is contact information for these off-campus resources:

Sexual Assault Hotline Help: 1-800-871-7741

Statewide Domestic Abuse Hotline: 1-866-834-4357 (Deaf or Hard of Hearing: 1-800-437-1220)

NOTE: Off-campus counselors and advocates are not required to disclose information to the University, but they may have external reporting or other obligations under state law (such as mandatory reporting to law enforcement in case of abuse of minors).

4. Researchers

Research involving human subjects (which require approval by the appropriate campus Institutional Review Board for the Protection of Human Subjects) may ask subjects to provide personal information in a confidential setting. Information about an incident covered under this policy may be disclosed by a research subject as part of participation in that research. Researchers involved in the research project are not required to report the incident to the Title IX Coordinator.

B. Reporting to Another Student or a University Volunteer

If you discuss a violation of this policy with another student or with a University volunteer, that person may be able to maintain your confidentiality, except as described within section C, Mandatory Reporting.. All University students and volunteers are strongly encouraged to report all that they witnessed or heard regarding a violation of this policy to the designated University officials identified above.

C. Mandatory University Reporting

All University employees (except as provided within section A) have a duty to report incidents of sexual discrimination, sexual harassment and sexual assault. Because domestic violence, dating violence, stalking and sexual misconduct can also constitute

sex discrimination, information received by university employees about such incidents must also be reported. Student employees, peer advocates and volunteers who learn of a violation of this policy in the course of their employment, programming or volunteer responsibilities are also required to report.

If a university employee receives or becomes aware of an incident covered by this policy, if possible before hearing it fully, the employee should be clear with the complainant that (1) they are not a confidential resource, if they are not so designated, and (2) they are obligated to report any incident to the Title IX Coordinator.

If a University employee receives or becomes aware of an incident covered by this policy, the University employee shall promptly report to the Title IX Coordinator all relevant details about the alleged incident shared by the complainant that the University will need to determine what happened – including the names of the complainant and respondent(s), any witnesses, and any other relevant facts, including the date, time and specific location of the alleged incident. To the extent possible, information reported will be shared only with people responsible for handling the University's response to the report. If the University determines that there is a continuing threat to the safety of members of the University community, it may have to issue a timely warning regarding the incident.

D. How the University Will Respond to Requests for Confidentiality

When a complainant reveals any information about a violation of this policy to any University employee, as soon as possible, the employee should make sure that the complainant understands the employee's reporting obligations. If the complainant wants to maintain confidentiality, University employees should direct the complainant to confidential resources but the employee must still report any information disclosed to the Title IX Coordinator. If the complainant wants to tell the employee what happened but also maintain confidentiality, the employee should tell the complainant that the University will consider the request, but cannot guarantee that the University will be able to honor it. In reporting the details of the incident to the Title IX Coordinator, the employee will also inform the Coordinator of the complainant's request for confidentiality.

An employee will not pressure a complainant to request confidentiality, or pressure a complainant to file a report or complaint. An employee will respect the complainant's

wishes to the extent possible. If a complainant discloses an incident to an employee but wishes to maintain confidentiality or requests that no investigation into an incident be conducted or disciplinary action taken, the employee still must disclose the incident to the Title IX Coordinator, and the University will weigh the complainant's request against the University's obligation to provide a safe, non-discriminatory environment for all students and employees, including the complainant. If the University honors the request for confidentiality, a complainant needs to understand that the University's ability to meaningfully investigate the incident and pursue disciplinary action, if warranted, against the respondent(s) may be limited. There are times when the University may not be able to honor a complainant's request because it would pose a risk to providing a safe, non-discriminatory environment for students and employees. If the University determines that it cannot maintain a complainant's confidentiality, the University will inform the complainant prior to starting an investigation and, to the extent possible, will share information only with people responsible for handling the University's response.

Except as required by law, the University shall not include personally identifiable information about a complainant in its campus crime statistics or report, its campus crime log, publicly available police reports or timely warning notices.

The University shall maintain as confidential any accommodations or protective measures provided to the complainant, respondent, or another party, to the extent that maintaining such confidentiality would not impair the ability of the University to provide the accommodations or supportive measures or to the extent otherwise required by law.

The University is committed to the complainant and respondent's well-being, and will take steps to protect everyone involved from retaliation or harm. Because the University is under a continuing obligation to address violations of this policy campus-wide, reports of violations of this policy (including non-identifying reports) may also prompt the University to consider broader remedial action –such as increased monitoring, supervision or security at locations where the reported incident occurred; increasing education and prevention efforts, including to targeted population groups; conducting climate assessments/ victimization surveys; and/or revisiting its policies and practices.

E. Miscellaneous

Public awareness events such as "Take Back the Night," the Clothesline Project, candlelight vigils, protests, "survivor speak outs" or other forums in which students or employees disclose violations of this policy, are not considered notice to the University of violations of this policy for purposes of triggering its obligation to investigate a particular incident(s). Such disclosures may, however, inform the need for campuswide education and prevention efforts, and the University may provide information about students' and employees' Title IX rights at these events. If conduct which might constitute Title IX Sexual Harassment is disclosed at such an event, and the Title IX Coordinator, Deputy Title IX coordinator or an Official with Authority is present, the University may be required to contact the complainant as described in Article XVI below.

F. Anonymous Reporting

Although the University encourages complainants to talk to someone, the University provides an online form for anonymous reporting. Providing personally identifying information through an anonymous reporting system may serve as notice to the University for the purpose of triggering the University's obligation to reach out to the complainant to explain the options for filing a formal complaint, offer supportive measures, and describe the formal and informal resolution processes. This is the mechanism for anonymous reporting on your campus.

VIII. Support Services and Interim Measures

Whether or not an individual who has experienced a violation of this policy files a formal complaint, reporting the incident will allow the University to provide all individuals involved with available support and services, both on-campus and off-campus. The University can also take supportive measures to promote the safety and well-being of both the complainant and respondent, including, but not limited to, moving the complainant or respondent to a new living, dining or working situation; issuing a no contact order; changing class or work schedules; changing transportation; financial aid accommodations; and other academic and/or employment accommodations and support. Supportive Measures are non-disciplinary, non-punitive individualized measures offered as appropriate, as reasonably available and without fee or charge to the complainant or respondent.

The University can assist all individuals involved in obtaining counseling on or off campus and provide information regarding medical care and other resources, such as victim advocacy, legal assistance and visa and immigration assistance. The University will provide written notification to students and employees about existing resources, both within the University and the community. The University will provide written notification to complainants and respondents about how to request the above services and accommodations. The University must offer such supportive measures if they are reasonably available, regardless of whether the complainant chooses to report the incident to campus police or local law enforcement, or file a formal complaint with the University.

IX. Options Regarding Law Enforcement

An individual who has experienced sexual assault, domestic violence, dating violence or stalking, or any other conduct which may violate criminal laws, has a right, at his or her own discretion, to:

- 1. Notify law enforcement authorities, including on-campus and local police;
- 2. Be assisted by campus authorities in notifying law enforcement; or
- 3. Decline to notify law enforcement.

University officials may, however, provide law enforcement with details about an incident under some circumstances if a determination has been made that such disclosure is required by law and/or is necessary to secure campus safety. See section VII(D) above. Complainants have a right to proceed simultaneously with a criminal investigation and a university internal investigation. If necessary to the criminal investigation, the University may defer its investigation for a limited time for fact gathering by law enforcement, and then will promptly resume its investigation.

X. Enforcement of Protection-from-Harassment or Protection-from-Abuse Orders

The University will provide assistance or referrals for a student or employee who wishes to obtain a protection-from-abuse or protection-from-harassment order. If a protection-from-abuse or protection-from-harassment order has been issued by a court in a civil or criminal proceeding, a copy of the order should be provided to University police or security and the Title IX Coordinator or Deputy Title IX Coordinator (contact

information for the Title IX Coordinator or Deputy Title IX Coordinator available here)

as soon as possible to enable enforcement by the appropriate authorities. The University will work in good faith to implement the requirements of judicially issued protective orders and similar orders, to the extent that doing so is within its authority.

XI. Student Amnesty

The University strongly encourages students to report instances of sex discrimination, sexual harassment, retaliation, sexual assault, domestic violence, dating violence or stalking. Students who report information about a violation of this policy will not be disciplined by the University for any violation of alcohol possession or consumption policies or other minor violations of the Student Conduct Code in which they might have engaged in connection with the reported incident.

XII. Free Speech and Academic Freedom

Recognizing that many citizens have differing views on the matter of whom and which groups should have an opportunity to express opinions, the University of Maine System affirms its commitments to the rights of free speech and academic freedom.

To that principle, there shall be no restrictions, on any of the System institutions, placed on the fundamental rights of free speech and assembly, except those necessary to preserve the order for the University System to function as an institution of higher learning. Additional information pertaining to Free Speech can be found <u>online</u>.

Academic freedom is essential to the fulfillment of the purposes of the University. The University acknowledges and encourages an atmosphere of confidence and freedom while recognizing that the concept of academic freedom is accompanied by a corresponding concept of responsibility to the University and its students and employees. Academic freedom is the freedom to present and discuss all relevant matters in the classroom, to explore all avenues of scholarship, research and creative expression, and to speak or write without any censorship, threat, restraint, or discipline by the University with regard to the pursuit of truth in the performance of teaching, research, publishing or service obligations.

The University of Maine System is a public institution of higher education committed to excellence in teaching, research, and public service. Together, the students, faculty, and staff form our statewide University community. The quality of life on and about the member Universities is best served by preserving the above described freedoms and civility. This policy shall not be construed or applied to restrict academic freedom within the University, nor construed to restrict constitutionally protected expression

XIII. Off-Campus and Off-Duty Conduct

Reports of violations of this policy that occur off campus and/or off-duty can be the subject of a complaint. Reports of off-campus and/or off-duty sexual harassment, sexual assault, domestic violence, dating violence and stalking should be brought to the University's attention as soon as possible. The University will evaluate the incident to determine whether it violates this policy by resulting in continuing effects that create a hostile environment on campus or otherwise have a substantial connection to the University's education programs and activities.

XIV. Educational Programs

The University will conduct educational programs to promote awareness of sex discrimination, sexual harassment, sexual assault, domestic violence, dating violence, and stalking. This will include primary prevention and awareness programs for incoming students and new employees, and ongoing prevention and awareness campaigns for students, faculty and staff. All employees and students must participate in all required educational programs.

XV. External Complaints

Individuals who experience a violation of this policy may file a complaint or suit with an outside agency, including the Maine Human Rights Commission, U.S. Equal Employment Opportunity Commission, or U.S. Department of Education Office of Civil Rights. The University will still investigate and respond appropriately to any internal complaint.

A complainant who filed a complaint with the University and believes the University's response was inadequate may also file a complaint with the above agencies.

Complainants should keep in mind, however, that although they may report a violation of this policy to the University at any time; external agencies typically have time limits within which complaints must be filed. To learn more about the procedures for filing complaints with an outside agency, Complainants should contact that entity directly.

Office of Civil Rights (OCR)

U.S. Department of Education 400 Maryland Avenue, SW

Washington, D.C. 20202-1100 Customer Service Hotline #: (800) 421-3481

Facsimile: (202) 453-6012 TDD#: (877) 521-2172

Email: OCR@ed.gov

Web: http://www.ed.gov/ocr

Maine Human Rights Commission

Office of the Commission 51 State House Station Augusta, ME 04330

Phone: 207-624-6290 Fax: 207-624-8729

Email: info@mhrc.maine.gov

For Employee Title IX/ADA Complaints:

US Department of Justice 950 Pennsylvania Avenue, NW Civil Rights Division Disability Rights Section – 1425 NYA Washington, D.C. 20530

Fax: 202-307-1197

You may also file a complaint by Email at ADA.complaint@usdoj.gov. If you have questions about filing an ADA complaint, please call: ADA Information Line: 800-514-0301 (voice) or 800-514-0383 (TTY).

U.S. Equal Employment Opportunity Commission Complaints: Call 1-800-669-4000

For Deaf/Hard of Hearing callers: 1-800-669-6820 (TTY) 1-844-234-5122 (ASL Video Phone) info@eeoc.gov

XVI. Title IX Sexual Harassment (Pursuant to May 2020 Title IX Regulations)

This Article XVI and the corresponding Title IX Sexual Harassment process apply to all complaints of Title IX Sexual Harassment that are made after August 14, 2020 regarding conduct that occurs after that date. All complaints made after August 14, 2020 regarding conduct that occurred before August 14, 2020, will follow the policies in place at the time of the incident and the applicable process in place at the time of the complaint. The Title IX Sexual Harassment policy and process described in this Article XVI go into effect at midnight on August 14, 2020 and will remain in effect unless/until this policy and process are stayed, enjoined, limited, amended, repealed or otherwise are ruled or become inapplicable in whole or in part due to action by a court or the U.S. Department of Education. Should the Title IX Regulations be revoked, any conduct covered under this Policy shall be investigated and adjudicated under this policy and the applicable processes described in Articles IV and VI.

Finally, conduct that falls outside of Title IX, such as gender-based and sexual harassment that does not meet the Title IX regulations' definition or conduct that occurs outside of the United States but still within the University's education programs or activities, may be covered by Articles IV and VI of this Policy. The University condemns all forms of sexual misconduct, even conduct that does not meet the definition of sexual harassment under Title IX. Accordingly, this Policy provides procedures for reporting and investigating sexual harassment that falls outside of Title IX's definition of sexual harassment or outside of Title IX's geographic scope but is nonetheless detrimental to the safety and wellbeing of the University community.

If you have any questions or concerns about which policy or process may apply to your case/report, please contact the UMS Title IX Coordinator at 207-581-5866 or at titleix@maine.edu for clarifications.

Glossary:

- 1. Advisor means a person chosen by a party or appointed by the institution to accompany the party to meetings related to the complaint process, to advise the party on that process, and to conduct cross-examination for the party at the hearing, if any.
- 2. *Complainant* means an individual or group of individuals who is alleged to be the victim of conduct that could constitute harassment based on a sex or retaliation for engaging in a protected activity.

- 3. Complaint (formal) means a written document, paper or electronic, filed by a Complainant or signed by the Title IX Coordinator alleging harassment or discrimination based on sex, or retaliation for engaging in a protected activity, against a respondent and requesting that the University investigate the allegation of harassment based on sex or retaliation for engaging in a protected activity. At the time of filing a formal complaint of Title IX sexual harassment, a Complainant must be participating in or attempting to participate in the education program or activity of the University with which the formal complaint is filed. The complaint must contain the Title IX Coordinator or the Complainant's physical or digital signature, or otherwise indicate that the Complainant is the person filing the formal complaint. Where the Title IX Coordinator signs a formal complaint, the Title IX Coordinator is not considered a Complainant or otherwise a party.
- 4. *Directly Related Evidence* is evidence that in the reasoned judgment of the investigator, is determined to be connected to the complaint even if not relied upon by the investigator in the investigation report.
- 5. Education program or activity means all of the operations of the University, as well as, locations, events or circumstances where UMS exercises substantial control over both the Respondent and the context in which the Title IX sexual harassment occurs and also includes any building owned or controlled by a student organization that is officially recognized by the University.
- 6. Final Determination a written determination by a Decision-maker or Panel by the preponderance of the evidence standard whether the alleged conduct occurred and whether it did or did not violate policy, which includes all of the following: identification of the allegations potentially constituting Title IX Sexual Harassment as defined in Article XVI(1)(xii) below; a description of the procedural steps taken by the University from the receipt of the formal complaint through the determination, including any notifications to the parties, interviews with parties and witnesses, site visits, methods used to gather other evidence, and hearings held; findings of fact supporting the determination; conclusions regarding the application of the this Policy to the facts; a statement of, and rationale for, the result as to each allegation, including a determination regarding responsibility, any disciplinary sanctions imposed on the Respondent, and whether remedies designed to restore or preserve equal access to UMS's education program or activity will be provided by the University to the Complainant; and the procedures and permissible bases for the Complainant and Respondent to appeal.

- 7. Formal Complaint Process means a method of formal resolution designated by the University to address conduct that falls within this Title IX Sexual Harassment policy and process, and which complies with the requirements of the Title IX regulations.
- 8. *Notice* means that an employee, student, or third-party informs the Title IX Coordinator or other Official with Authority of the alleged occurrence of harassing conduct on the basis of sex.
- 9. Official with Authority (OWA) means an employee of UMS explicitly vested with the responsibility to implement corrective measures for harassment based on sex, and/or retaliation on behalf of UMS.
- 10. *Relevant Evidence* is evidence that tends to prove or disprove an issue in the complaint.
- 11. Remedies are post-finding actions provided to the Complainant where a determination of responsibility for sexual harassment has been made against the Respondent after the Formal Complaint Process in this Policy as mechanisms to address safety, prevent recurrence, and restore access to UMS's educational program.
- 12. *Title IX Sexual Harassment* is the umbrella category including the offenses of sexual harassment, sexual assault, stalking, and dating violence and domestic violence as defined in Article XVI (2) below.
- 13. *Title IX Team* refers to the Title IX Coordinator, Deputy Title IX Coordinators, Investigators, Hearing Decision-maker or Panel, or University provided Advisor.

1. Title IX Sexual Harassment Jurisdiction

Title IX jurisdiction applies when the alleged sexual harassment occurs within the context of the University's "education program or activity" which includes all of the operations of the University, and locations, events, or circumstances over which the University exercised substantial control over both the Respondent and the context in which the sexual harassment occurred, and also includes any building owned or controlled by a student organization that is officially recognized by the University.

2. Title IX Sexual Harassment Definitions

The Title IX regulations define **Sexual Harassment** as conduct on the basis of sex that <u>must</u> satisfy one or more of the following:

- 1. A University employee conditions the provision of an aid, benefit, or service of UMS on an individual's participating in unwelcome sexual conduct; or
- 2. Unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to UMS' education program or activity;

Sexual Assault is defined as having or attempting to have sexual intercourse with another individual, including: (1) by use of force or threat; (2) without effective consent; or (3) where the actor knew or should have known the individual is incapacitated by drugs and/or alcohol or was physically or mentally unable to make informed or reasonable judgments or provide consent. For purposes of this definition, sexual intercourse includes vaginal, anal or oral penetration, no matter how slight, with any body part or object, or oral penetration involving any form of mouth to genital contact. Sexual Assault includes rape, fondling, incest, or statutory rape as those crimes are defined by the Federal Bureau of Investigation (FBI) Uniform Crime Reporting Program. This definition conforms to the FBI's Uniform Crime Report and Clery Act definition and also conforms to the definition of rape under Maine law.

Force is the use of physical violence and/or the threat of physical violence to gain sexual access. Force also includes threats against others, intimidation (implied threats), and coercion that is intended to overcome resistance or produce consent (e.g., "Have sex with me or I'll hit you").

Sexual activity that is forced is, by definition, non-consensual, but non-consensual sexual activity is not necessarily forced. Silence or the absence of resistance alone is not consent. Consent is not demonstrated by the absence of resistance. While resistance is not required or necessary, it is a clear demonstration of non-consent.

Coercion is <u>unreasonable</u> pressure for sexual activity. Coercive conduct differs from seductive conduct based on factors such as the type and/or extent of the pressure used to obtain consent. When someone makes clear that they do not want to engage in certain sexual activity, that they want to stop, or that they do not want to go past a certain point of sexual interaction, continued pressure beyond that point can be coercive, and there is no consent.

Incapacitation is defined as the inability to make rational, reasonable decisions because the individual lacks capacity to give knowing consent (e.g., to understand the "who", "what"," when", "where", "why", or "how" of their sexual interaction. A person may be incapacitated because of their consumption of drugs/alcohol and/or because they are mentally/physically helpless or disabled, asleep, unconscious, or otherwise unaware that the sexual activity is occurring. Where drugs or alcohol are involved, incapacitation is a state beyond impairment or intoxication, and involves an assessment of the person's decision-making ability, awareness of consequences, ability to make informed, rational judgments, capacity to appreciate the nature and quality of the act, and/or level of consciousness. The assessment is based on objectively and reasonably apparent signs of incapacitation when viewed from the perspective of a sober, reasonable person.

Dating Violence, Domestic Violence, and Stalking, as defined above.

Retaliation

No person may intimidate, threaten, coerce, or discriminate against any individual for the purpose of interfering with any right or privilege secured by Title IX of the Education Amendments of 1972 or its implementing regulations.

No person may intimidate, threaten, coerce, or discriminate against any individual because the individual has made a report or complaint, testified, assisted, or participated or refused to participate in any manner in an investigation, proceeding or hearing under this Title IX Policy and accompanying process

Any intimidation, threats, coercion, or discrimination, for the purpose of interfering with any right or privilege secured by Title IX or its implementing regulations constitutes retaliation. This includes any charges filed against an individual for code of conduct violations that do not involve sex discrimination or sexual harassment, but that arise from the same facts or circumstances as a report or complaint of sex discrimination or a report or formal complaint of sexual harassment, as set forth in the University's amnesty policy.

Complaints alleging retaliation may be filed with the Title IX Coordinator.

UMS reserves the right to impose any level of sanction, ranging from a reprimand up to and including suspension or dismissal/termination, for any offense under this policy.

XVII. Review and Revision of this Policy

A representative Policy Review Board will be established consisting of university and System participants to review and recommend changes, as appropriate, to this policy and procedures. The Board will meet at least every three years or more often, as needed and in synchronization with the review of the University of Maine System Student Conduct Code. Revisions of this policy require approval of the Board of Trustees.

Maine law defines the crimes of assault, domestic violence, sexual assault and stalking differently than the federal Clery regulations. The State of Maine definitions of these crimes and of consent are located under the Maine Criminal Code and can be accessed online at the link below:

http://www.mainelegislature.org/legis/statutes/17-A/title17-Ach0sec0.html

Bystander Intervention

Bystander intervention means safe and positive options that may be carried out by an individual or individuals to prevent harm or intervene when there is a risk of dating violence, domestic violence, sexual assault, or stalking. Bystander intervention includes recognizing situations of potential harm, understanding institutional structures and cultural conditions that facilitate violence, overcoming barriers to intervening, identifying safe and effective intervention options, and taking action to intervene.

Information on Risk Reduction

Risk reduction means options designed to decrease perpetration and bystander inaction, and to increase empowerment for victims in order to promote safety and to help individuals and communities address conditions that facilitate violence.

UMF Campus Safety Project (OVW Grant)

As of February 2021, funded by an OVW Campus Safety Grant, the UMF Campus Safety Project Coordinated Community Response Team (CCRT) has worked to create and maintain an environment conducive to healthy scholarly learning, living and

working by fostering a campus culture that is both inhospitable to inter-personal, power and gender-based violence and focuses on respect and consent everywhere. To accomplish this, UMF CCRT provides the University and its surrounding community with inclusive, intersectional, culturally relevant and trauma-focused prevention, education and comprehensive response and accountability efforts.

In 2021, the CCRT consisted of 12 members, representing a wide range of areas of the campus community [e.g., including administration, law enforcement (LE), Title IX services, student life, athletics, fitness and recreation, diversity, equity and inclusion (DEI)]. We also have community partners from both the local Sexual Assault Prevention and Response Services (SAPARS - sexual assault coalition) and Safe Voices (domestic violence coalition), as well as the local police department (Farmington Police Department), the Franklin County District Attorney's Office (DA) as well as the local hospital (Frankin Memorial Hospital). The CCRT is a diverse, engaged group that demonstrates real commitment to the work of campus violence prevention. The CCRT has met monthly for the last year and will continue this schedule moving forward.

The CCRT was heavily involved in the hiring and onboarding of the Project Director, Dr. Alex Warner, who started in late February 2021. Alex brings a wealth of knowledge and experience with her that includes a Ph.D. in American history with a focus on women's sexuality, management of National Institute of Health grants, academic and direct support work with non-binary, LGBTQIA+ communities and relationship violence prevention and education.

She joins the other core members of the CCRT: Kelly Bentley, Project Supervisor; Christine Wilson, VP of Student Affairs and Enrollment Management; Brock Caton, Director of Public Safety/Chief of Police; Hope Shore, Assistant Director of Student Life and Deputy Title IX Coordinator; Rachel Hovel, faculty member and DEI representative (DIAT); Ben White, Director of Fitness and Recreation; Meagan Davis & Shawna Austin, SAPARS; Rebecca Austin & Kara Barnies, Safe Voices; Shane Cote, Farmington PD.

UMF has continued to offer its online training and, over 2021, the Primary Investigator (PI) and Project Director (PD) worked with the Deputy Title IX Coordinators and the University of Maine System (UMS) Legal Counsel to tailor existing training modules in UMF's online new student orientation.

Throughout 2021, the Campus Safety Project worked with Safe Voices and SAPARS to adapt research-based prevention and bystander training programs tailored for UMF. This model addresses the needs of a broad array of audiences and individuals with a broad range of identities, including non-traditional students, LGBTQIA+ students, first generation students, and ethnically diverse students.

In creating the Campus Safety Project, UMF has formalized partnerships with the local domestic violence resource center (Safe Voices) and the local sexual assault agency (Sexual Assault Prevention and Response Services/SAPARS) in order to provide confidential victim services and advocacy to survivors on the UMF campus. This partnership includes collaboration in the development of referral processes, response protocols, and additional support that may be necessary in ensuring 24-hour accessibility to confidential victim services. This plan also formalizes processes and protocols for the organizations and provides clarity for UMF faculty, staff and students.

Criminal Investigations and Criminal Charges

The UMF Department of Public Safety/Campus Police investigates all criminal conduct that may occur on the campus. The UMF Department of Public Safety/Campus Police has trained and experienced police officers that work directly with the Franklin County District Attorney's Office. All cases of criminal matters are presented to the Franklin County District Attorney's Office for review. Violent crimes take priority over property crimes and time is of the essence.

If possible, the assailant will be apprehended, the suspect will be charged and/or arrested with the appropriate offenses as defined in the Maine Criminal Statutes. The assailant may be incarcerated or released on bail depending on the circumstances of the crime. One of the usual conditions of bail is that the suspect cannot make any attempt to contact the victim/survivor, directly or indirectly. If the victim/survivor is contacted by the suspect or feels threatened in any way, he/she is encouraged to notify the UMF Department of Public Safety/Campus Police immediately.

Support Services and Interim Measures

Whether or not an individual who has experienced dating violence, domestic violence, sexual assault or stalking files a formal complaint, reporting the incident will allow the University to provide all individuals involved with available support and services, both on-campus and off-campus.

The University can also take interim measures to promote the safety and well-being of both the complainant and respondent, including, but not limited to, moving the complainant or respondent to a new living, dining or working situation; issuing a no contact order; changing class or work schedules; changing transportation; financial aid accommodations; and other academic and/or employment accommodations and support.

The University can assist all individuals involved in obtaining counseling on or off campus and provide information regarding medical care and other resources, such as victim advocacy, legal assistance and visa and immigration assistance.

The University will provide written notification to students and employees about existing resources, both within the University and the community. The University will provide written notification to complainants and respondents about how to request the above services and accommodations. The University must make such accommodations or provide such protective measures if the complainant requests them and if they are reasonably available, regardless of whether the complainant chooses to report the crime to campus police or local law enforcement.

Policy for Reporting the Annual Disclosure of Crime Statistics

The UMF Department of Public Safety/Campus Police prepares this report in compliance with the Jeanne Clery Disclosure of Campus Security Policy and Crime Statistics Act. The full text of this report can be located on our web site at umf.maine.edu/publicsafety/. You will also be able to connect to the Campus Police website, via the UMF home page at http://www.umf.maine.edu/ or to the direct link to the Annual Security Report (Campus Safety Report link located at the bottom of the University of Maine at Farmington homepage). This report is prepared in cooperation with the local law enforcement agencies surrounding campus, Department of Student Life and the Vice President for Student Affairs/Enrollment Management. Each entity provides updated information on their educational efforts and programs to comply with the Act. Campus crime, arrest and referral statistics include those reported to the UMF Department of Public Safety/Campus Police, designated campus security authorities, local law enforcement agencies and confidential reports received by the Director of Public Safety/Chief of Police. These statistics may also include crimes that have occurred in private residences or businesses and are not required by law. Staff at the Center for Student Development informs their clients of the procedures to report crime to the UMF Department of Public Safety/Campus Police on a voluntary or confidential basis, should they feel it is in the best interest of the client. Each year, an email notification is made to all enrolled students which provide the website to access this report. Faculty and staff receive similar email notifications. Copies of the report may also be obtained at the UMF Department of Public Safety/Campus Police, located

at 149 Quebec Street (across from Fitness and Recreation Center) or by calling 207-778-7400. All prospective employees may obtain a copy from the Human Resources Office located on the first floor of Merrill Hall or by calling (207) 778-7271. The web site address is attached to the UMF employment applications. Also, an abbreviated version of this report is located in the class schedule.

Crime Statistics Report

The statistical report for calendar years 2019, 2020, and 2021 is shown in this report. The following is a list of geographical locations and definitions which can provide a better understanding of how statistics are counted and categorized.

Clery Geography

You must disclose statistics for reported Clery Act crimes that occur:

- 1. on campus, (*including* crimes that occurred in on-campus student housing facilities),
- 2. in on-campus student housing facilities,
- 3. in or on non-campus buildings or property that your institution owns or controls, and
 - 4. on public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus or immediately adjacent to and accessible from the campus.

A. On Campus

Definition of "on campus."

For Clery Act reporting purposes, your campus includes buildings and properties that meet all of the following criteria:

- · Your institution owns or controls them; AND
- · They are reasonably contiguous to one another; AND
- They directly support or relate to the institution's educational purposes.

"On campus" also includes:

- 1. Any building or property that is within or reasonably contiguous to the area identified in the above definition, that is owned by the institution but controlled by another person, <u>and</u> is frequently used by students <u>and</u> supports institutional purposes (such as a food or other retail vendor); and
- 2. Any building or property owned or controlled by an institution-associated foundation, holding company, subsidiary, alumni association, athletic booster club, or any other institution-associated entity that is operated in support of, or in relation to, your institution's educational purposes. Your institution is

considered to be in control of that building or property.

<u>Control</u> - Means the University (or a university-associated entity) directly or indirectly rents, leases or has some other type of written agreement (*including an informal written agreement such as a letter or email*) for a building or property or a portion of a building or property.

Reasonably Contiguous – Means a building or property the University owns or controls that's in a location that you and your students consider to be, and treat as, part of your campus. There is no specific measurable distance used by ED anymore to determine "reasonably contiguous," but the 2016 Handbook said that generally, it is reasonable to consider locations within one mile of your campus border as reasonably contiguous.

B. On Campus - Residential

Under the Clery Act, an institution that has on-campus student housing facilities must separately disclose <u>two</u> sets of on-campus statistics:

- 1. The total number of crimes that occurred on-campus, *including* crimes that occurred in on-campus student housing facilities; and
- 2. The number of crimes that occurred in on-campus student housing facilities as a subset of the total.

Definition of an "On-campus Student Housing Facility" - Any student housing facility that is owned or controlled by the University, or is located on property that is owned or controlled by the University, and is within the reasonably contiguous geographic area that makes up the campus is considered an on-campus student housing facility.

This definition includes the following types of housing:

- Undergraduate, graduate and married student housing.
- · Single family houses that are used for student housing.
- · Summer school student housing.
- · Buildings that are used for student housing but also have faculty, staff or other individuals living there.
- Buildings that are owned by a third party that has a written agreement with your institution to provide student housing. It doesn't matter whether the rent is paid to the third party by the institution on behalf of the students or paid directly by the students. These locations could include buildings that are used exclusively for student housing, or hotels or apartment buildings in which a subset of the available units have been leased for student housing. In multi-use buildings that are reasonably contiguous with the campus, the portion of the building leased for student housing, as well as common areas and areas used to access the student housing, should be counted as an on-campus student housing facility. Include any unoccupied apartments that are specifically reserved for student housing. You do not have to include the apartments, rooms or floors of the building that are not reserved for student housing or apartments, or rooms or floors of the building that are reserved for faculty or staff.
- · Housing for officially and not officially recognized student groups, including fraternity or sorority houses, that are owned or controlled by your institution or are located on property that your institution owns or controls.
- · Parking facilities and dining halls that are physically attached to and accessed directly from student housing facilities must be included as part of the on-campus student housing facility.

C. Public Property

Under the Clery Act, "public property" encompasses the following: All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is:

- 1. within the campus, or
- 2. immediately adjacent to and accessible from the campus.

Public property refers to property owned by a public entity, such as a city or state government. Privately owned property can never be "public property" under the Clery Act.

The first is public property within your campus. An example is a public road or public bike path that runs through the campus. You must report statistics for crimes that occur on the section of the road or bike path that touches your campus. A private road or private driveway running through your campus is <u>not</u> public property. A public or municipal parking lot that bisects your campus is part of your public property.

The second area is public property that immediately borders and is accessible from the campus. In many cases, this property consists of a public sidewalk that borders the campus, the public street along the sidewalk and the public sidewalk on the other side of the street (i.e., sidewalk, street, sidewalk). Again, only the portions of the sidewalk, street and sidewalk that are adjacent to your campus are included in your public property. Your public property does not include anything beyond the second sidewalk. (If there isn't a second sidewalk, it doesn't include anything beyond the street.)

D. Non-campus Property

- 1. Any building or property that is not part of the campus, does not fit the definition of a separate campus, and is:
 - Owned or controlled by the University
 - · Used in direct support of or in relation to the University's educational purposes, AND
 - · Is frequently used by students.
- 2. Any building or property owned or controlled by a student organization that is officially recognized by the University.

This is the <u>only</u> Clery-reportable location not owned or controlled by the University. Count crimes that occur in the house and in the yard, i.e., all property owned or controlled by the student organization.

NOTE: If the building or land that the student organization's building is built on is within the core campus or reasonably contiguous to the campus and is owned or

controlled by the University, it is counted as **ON-CAMPUS** <u>AND AS AN</u> **ON-CAMPUS STUDENT HOUSING FACILITY** if students live in the building.

Definitions of Crimes - FBI National Incident-Based Reporting System (NIBRS)

In addition to the statistical profile presented at the web address and in this document, a list of definitions is included and provides basic information about each crime category. For a full list of NIBRS definitions, please visit the link below:

https://ucr.fbi.gov/nibrs/2018/resource-pages/nibrs_offense_definitions-2018.pdf

Homicide Offenses – The killing of one human being by another

Murder and Non-negligent Manslaughter – The willful (non-negligent) killing of one human being by another

Negligent Manslaughter – The killing of another person through gross negligence

Justifiable Homicide — The killing of a perpetrator of a serious criminal offense by a law enforcement officer in the line of duty, or the killing, during the commission of a serious criminal offense, of the offender by a private individual

Sex Offenses (NIBRS 11A-11D Definitions) — Any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent

Rape — (Except Statutory Rape) The carnal knowledge of a person, without the consent of the victim, including instances where the victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity

Sodomy — Oral or anal sexual intercourse with another person, without the consent of the victim, including instances where the victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity

Sexual Assault With An Object — To use an object or instrument to unlawfully penetrate, however slightly, the genital or anal opening of the body of another person, without the consent of the victim, including instances where the victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity

Fondling – The touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity

Sex Offenses (NIBRS 36A-36B, 360 Definitions) – Unlawful sexual intercourse

Incest – Nonforcible sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law

Statutory Rape – Nonforcible sexual intercourse with a person who is under the statutory age of consent

Assault Offenses – An unlawful attack by one person upon another

Aggravated Assault — An unlawful attack by one person upon another wherein the offender uses a dangerous weapon or displays it in a threatening manner or the victim suffers obvious severe or aggravated bodily injury, or where there was a risk for serious injury/intent to seriously injure

Simple Assault — An unlawful physical attack by one person upon another where neither the offender displays a dangerous weapon, nor the victim suffers obvious severe or aggravated bodily injury involving apparent broken bones, loss of teeth, possible internal injury, severe laceration, or loss of consciousness

Intimidation—To unlawfully place another person in reasonable fear of harm through the use of threatening words and/or other conduct without displaying a dangerous weapon or subjecting the victim to an actual physical attack

Robbery — The taking of anything of value from the control, custody, or care of another person by force or threat of force and/or by putting the victim in fear of immediate harm

Burglary/Breaking and Entering—The unlawful entry into a building or some other structure to commit a felony or a theft

Larceny/Theft Offenses – The unlawful taking, carrying, leading, or riding away of property from the possession or constructive possession of another person

Motor Vehicle Theft – The theft of a motor vehicle

Arson — To unlawfully and intentionally damage or attempt to damage any real or personal property of another person or entity by fire or incendiary device

Destruction/Damage/Vandalism of Property — (Except Arson) To willfully or maliciously destroy, damage, deface, or otherwise injure any public or private property without the consent of the owner or the person having custody or control of it

Weapon Law Violations — The violation of laws or ordinances prohibiting the manufacture, sale, purchase, transportation, possession, concealment, or use of firearms, cutting instruments, explosives, incendiary devices, or other deadly weapons

Drug/Narcotic Offenses — (Except Driving Under the Influence) The violation of laws prohibiting the production, distribution, and/or use of certain controlled substances and the equipment or devices utilized in their preparation and/or use

Drug/Narcotic Violations – The unlawful cultivation, manufacture, distribution, sale, purchase, use, possession, transportation, or importation of any controlled substance

Drug Equipment Violations – The unlawful manufacture, sale, purchase, possession, or transportation of equipment or devices utilized in preparing and/or using drugs or narcotics

Liquor Law Violations — (Except Driving Under The Influence and Drunkenness) The violation of laws or ordinances prohibiting the manufacture, sale, purchase, transportation, possession, or use of alcoholic beverages

Crime Statistics

Department of Public Safety/Campus Police

Criminal

149 Quebec Street

Offenses:

On-Campus

Farmington, Maine 04938 207-778-7400

Criminal Offenses	2019	2020	2021
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	6	1	1
Fondling	5	0	1
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	1	0	0
Arson	0	0	0
Domestic Violence	0	0	0
Dating Violence	0	0	0
Stalking	0	0	0

Criminal Offenses:

149 Quebec Street Farmington, Maine 04938 207-778-7400 Student Housing Facility

Criminal Offenses	2019	2020	2021
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	6	1	1
Fondling	0	0	1
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Domestic Violence	0	0	0
Dating Violence	0	0	0
Stalking	0	0	0

Criminal Offenses:

149 Quebec Street Farmington, Maine 04938

207-778-7400

Non-Campus

Criminal Offenses	2019	2020	2021
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Domestic Violence	0	0	0
Dating Violence	0	0	0
Stalking	0	0	0

Criminal

149 Quebec Street Farmington, Maine 04938 207-778-7400 Offenses: Public Property

Criminal Offenses	2019	2020	2021
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	1	0	0
Arson	0	0	0
Domestic Violence	0	0	0
Dating Violence	0	0	0
Stalking	0	0	0

149 Quebec Street

Hate Crimes: On-Campus

Farmington, Maine 04938

Criminal Offenses	2019	2020	2021
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny - Theft	0	0	0
Intimidation	0	0	0
Destruction/Damage/Vandalism of Property	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400 Hate Crimes: Student Housing Facility

Criminal Offenses	2019	2020	2021
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny – Theft	0	0	0
Intimidation	0	0	0
Destruction/Damage/Vandalism of Property	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400 Hate Crimes: Non-Campus

Criminal Offenses	2019	2020	2021
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny – Theft	0	0	0
Intimidation	0	0	0
Destruction/Damage/Vandalism of			
Property	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400 Hate Crimes: Public Property

Criminal Offenses	2019	2020	2021
Murder/Non-negligent			
manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny - Theft	0	0	0
Intimidation	0	0	0
Destruction/Damage/Vandalism of Property	0	0	0

149 Quebec Street Arrests:
Farmington, Maine 04938
207-778-7400

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

On-Campus

Student Housing

149 Quebec Street
Farmington, Maine

Arrests:

Facility

Farmington, Maine 04938 207-778-7400

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

Arrests:

Non-Campus

149 Quebec Street Farmington, Maine 04938 207-778-7400

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	1	0
Liquor Law Violations	7	2	0

Arrests:

Public Property

149 Quebec Street

Disciplinary Actions:

On-Campus

Farmington, Maine 04938

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	23	21	8
Liquor Law Violations	44	14	10

Disciplinary

149 Quebec Street

Actions:

Student Housing Facility

Farmington, Maine 04938

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	23	21	8
Liquor Law Violations	44	14	10

Disciplinary

149 Quebec Street Actions:

Farmington, Maine 04938

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400 Disciplinary Actions

Public Property

Criminal Offenses	2019	2020	2021
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

Campus Emergency Response & Evacuation Procedures

Emergency Response

The University of Maine at Farmington Emergency Operations Center (EOC) Team is responsible for developing contingency plans and continuity of operations plans for all staff and students on campus. The University normally conducts annual exercises, which have included table top exercises, functional exercises, full scale exercises and tests of the emergency notification systems on campus. These tests are designed to assess and evaluate the emergency plans and capabilities of the university. This practice of annual EOC exercises has been temporarily suspended, due to the ongoing activation of the EOC to address the COVID-19 Pandemic, but will resume once normal University operations no longer require the UMF EOC to remain in a steady activation state.

The UMF EOC and Department of Public Safety/Campus Police members are trained on the National Incident Command System (NIMS) and respond to critical incidents on campus. When a serious incident occurs that causes an immediate threat to the campus, the first responders on the scene are usually the members of the UMF Department of Public Safety/Campus Police, the Farmington Police Department and the Farmington Fire Department. They all respond and work together to manage the incident. Depending on the nature of the incident, other University of Maine at Farmington departments, as well as other local, state, federal and private agencies may also be involved in responding to and assisting with the management of the incident.

General information about the emergency response and evacuation procedures for University of Maine Farmington are published each year as part of the institution's Clery compliance efforts and that information is available on the University of Maine at Farmington Department of Public Safety/Campus Police website (https://www.umf.maine.edu/publicsafety/). The University of Maine at Farmington also has developed a Campus Safety dropdown menu on the University's intranet(accessible to faculty/staff/students), MyCampus (https://mycampus.maine.edu/group/umf/home), which has valuable information on emergency contacts, evacuation procedures, general information, information and notifications, protective actions, a link to the Public Safety website, reporting emergencies and the Title IX reporting form.

Notification

All members of the University of Maine at Farmington campus community are notified on an annual basis that they are required to inform the University of Maine at Farmington Department of Public Safety/Campus Police of any situation or incident on campus that involves a significant emergency or dangerous situation that may involve an immediate or ongoing threat to the health and safety of students and/or employees on campus. UMF Department of Public Safety/Campus Police have the responsibility of responding to and gathering the necessary resources to mitigate, investigate and document any situation that may cause a significant emergency or dangerous situation. In addition, the UMF Department of Public Safety/Campus Police has the responsibility to respond to such incidents to determine if the situation does in fact pose a threat to the community. If that is the case, Federal Law requires that the institution immediately notify the campus community or the appropriate segments of the community that may be affected by the situation.

In the event of a serious incident, which poses an immediate threat to members of the University of Maine at Farmington, the UMF Department of Public Safety/Campus Police will immediately notify the Director of Public Safety/Chief of Police and then the UMF Emergency Operations Center. First responders will confirm that there is an emergency or dangerous situation that poses an immediate threat to the health or safety of some or all members of the University of Maine at Farmington Campus Community. The Emergency Operations Center will collaborate to determine the content of the message and will use some, or all, of the systems described below to communicate the threat to the University of Maine at Farmington Campus Community or to the appropriate segment of the community, if the threat is limited to a particular building or segment of the population. The Incident Commander will, without delay, take into account the safety of the community, determine the content of the notification and initiate the notification system, unless issuing a notification will, in the judgment of the first responders including, but not limited to: UMF Department of Public Safety/Campus Police, the Farmington Police Department, the Farmington Fire Department and NorthStar Emergency Medical Services compromise the efforts to assist a victim or to contain, respond to, or otherwise mitigate the emergency.

In the event of a serious incident which poses an immediate threat to members of the University of Maine at Farmington campus community, the University has various systems in place for communicating information quickly to those individuals. Some or

all of these methods of communication may be activated in the event of an immediate threat to the campus community. These methods of communication include:

- · **MyCampus**: UMF's online directory/source of information of everything that a campus community member may need.
- **Directed Communications SMS/EMAIL/CAPS**: University email and/or Blackboard Connect mass notification platform alerting students, faculty, and staff.
- · **Flyers**: posted on bulletin boards, exterior doors in academic buildings, residence halls, bulletin boards and administrative buildings.
- · University of Maine at Farmington Department of Public Safety/Campus Police website: https://www.umf.maine.edu/publicsafety/
- · University of Maine at Farmington Emergency Notification System (VISIPLEX): The Visiplex Notification System has been installed in both internal and external locations throughout campus and is used when there is imminent danger to the community. If the notification system is activated, the campus community will be issued specific instructions on how to react to the incident in question. Other messaging systems will also be used with the Visiplex System (I.e. the email or SMS alerts) to assist with providing these instructions.

Emergency Evacuation Procedures

An evacuation drill is coordinated by the UMF Department of Public Safety/Campus Police, the UMF Department of Facilities Management and the Farmington Fire Department once each semester for all residential facilities. Students are required to learn the locations of the emergency exits in the buildings and are provided guidance and direction when exiting each facility for a short-term evacuation. Residents are not advised in advance about the designated locations for long-term evacuations because those decisions are affected by time of day, location of the building being evacuated, the availability of the designated emergency gathering locations on campus, and other factors such as the location and nature of the threat. The UMF Department of Public Safety/Campus Police and Student Life staff on scene will communicate the proper procedure to students regarding the developing situation or any evacuation status changes.

The purpose of evacuation drills is to better prepare occupants of a building for an organized evacuation in case of a fire, or any other emergency. Evacuation drills are used as a way to educate and train UMF community members on fire safety issues specific to the building they work or live. During the drill, occupants practice procedures, and familiarize themselves with the location of exits and the sound of the fire alarm. In addition to educating the occupants of the buildings about evacuation procedures, the process also provides the University an opportunity to test the operation of fire alarm systems.

Lockout

This directive occurs when the threat is outside the building, and the first action is to secure the perimeter. Windows and doors will be locked with no outside activities allowed. The goal is to get students, staff, and visitors inside and keep the threat outside.

Lockdown

This is a temporary sheltering technique, ranging from minutes to hours, to minimize exposure to an "active shooter" or other similar incident. When alerted, lock all doors and windows, close blinds, if possible, turn off lights, and do not allow entry or exit to anyone until notified to do so by emergency personnel or a university official.

Shelter

This is a safe space that will protect occupants from a variety of hazards, such as debris impact, accidental or intentional explosive detonation, or the accidental or intentional release of a toxic substance into the air. These spaces may also be used to protect individuals from outside entities, unsafe weather conditions, or unforeseen circumstances. If a university official directs you to a shelter, she or he will specify whether it is Dearborn Gymnasium and/or the Fitness and Recreation Center, and ask that you go there directly.

Shelter-in-Place

This is a precaution aimed to keep you safe right where you are indoors. It means selecting an interior space, with no or few windows, and taking refuge there. This is usually directed when there is little time to give notice and the threat is imminent.

Evacuate

This means the removal of residents or occupants from a building or area for reasons of safety or precaution, impending weather, and/or received threats or breaches of security. When you are told to evacuate your building, go immediately to your designated meeting point, which will be identified, and remain there until otherwise instructed by a Public Safety Officer, other emergency personnel, or a university official.

Isolation

Separates sick people with a contagious disease from people who are not sick.

Quarantine

This separates and restricts the movement of people who were exposed to a contagious disease to see if they become sick.

Weapons Policy

The UMF Department of Public Safety/Campus Police is committed to protecting the health and safety of all members of the University community in its operations and activities. In order to ensure a safe environment, the University of Maine at Farmington prohibits the wearing, transporting, storage, or presence of firearms, ammunition or other dangerous weapons on all property owned by the university. All duly sworn law enforcement personnel engaged in official duties or military personnel engaged in military activities sponsored by the federal or state government are exempt from this policy.

Definition

A weapon is a firearm or other weapon, device, instrument, material or substance which is capable of producing death or serious bodily injury. This may include, but is not limited to devices from which a projectile (e.g., arrow, ball, bullet, missile, pellet, shell, or other material) may be fired, certain knives (e.g. 4" blade or longer, switchblades, etc.), brass knuckles, martial arts weapons and objects used as clubs (e.g. batons, asps, etc.) that pose reasonable risk of injury.

Implementation

All violations of this policy need to be reported to the UMF Department of Public Safety/Campus Police immediately. Any employee in possession of a firearm,

ammunition or other dangerous weapons while on university owned property or while otherwise fulfilling his or her job responsibilities, may face disciplinary action up to and including dismissal. Any visitor violating this policy shall be subject to the application of the criminal trespass provisions and/or the enforcement of the dangerous weapons laws of the State of Maine. The "Constitutional Concealed Carry Law" (Maine Public Law 2015, Chapter 327 (LD 652)) and the possession of a valid concealed weapons permit authorized by the State of Maine are not exemptions under this policy.

Any student or employee residing in the residence halls who wishes to bring hunting rifles or shotguns on campus need to coordinate with the Director of Public Safety/Chief of Police before doing so. All hunting rifles or shotguns will be securely stored at the Public Safety office and signed out on the day the individual wishes to use the firearm for hunting. When the individual is done hunting for the day, he or she will coordinate with the campus police to secure the firearm immediately upon arriving back to campus.

Any questions or concerns regarding this policy can be directed to the Director of Public Safety/Chief of Police at brock.caton@maine.edu or by calling the UMF Department of Public Safety/Campus Police at 207-778-7400.

Annual Fire Safety Report

For all campus owned residential facilities

If a fire occurs in a University of Maine at Farmington building, community members should immediately notify UMF Department of Public Safety/Campus Police at 207-778-7400. UMF Department of Public Safety/Campus Police will initiate a response by contacting the Franklin County Communication Center (dispatch), which in turn will initiate a response from the Farmington Fire Department and the UMF Department of Facilities Management. If a member of the campus community finds evidence of a fire that has been extinguished, and the person is not sure whether the Fire Department is or has already responded, the community member should immediately notify the UMF Department of Public Safety/Campus Police and the Department of Facilities Management to investigate and document the incident.

The fire alarm systems on campus are meant to alert community members of a potential hazard. All community members are required to heed their warning, and evacuate immediately upon hearing a fire alarm. Use the nearest stairwell and/or exit to leave the building immediately. UMF Community Members should familiarize themselves with the exits in each building.

UMF Department of Public Safety/Campus Police publishes this fire safety report with its annual Clery compliance documents. These documents contain information with respect to the fire safety standards for the University of Maine at Farmington. This report includes statistics concerning:

- the number of fires,
- the cause of each fire,
- the number of injuries and/or death related to a fire,
- the cost of the property damage caused by a fire

Fire Protection Equipment/Systems

The majority of university buildings are equipped with automatic fire detection, alarm systems, sprinkler and hood suppression systems. All residence halls and the Olsen Student Center are now on automatic call to Farmington Fire Department.

Fire Evacuation Plan

- 1. All faculty, staff and students are required to evacuate the building when the alarm has sounded and proceed to the nearest exit in an orderly fashion and go directly to the predetermined evacuation site.
- 2. The last individual exiting a room should close the door and proceed to the nearest exit. If smoke hampers your means of egress, find an alternate route.
- 3. Never use an elevator when evacuating the building, as smoke will rise into the elevator shaft, placing everyone inside at serious risk. Handicapped individuals should proceed to the nearest staircase and wait for assistance.
- 4. Do not re-enter the building until the fire alarm has been silenced and UMF Department of Public Safety/Campus Police or the Farmington Fire Department emergency personnel have indicated that it is safe to re-occupy. Always inform the UMF Department of Public Safety/Campus Police if anyone has been injured or cannot account for their presence.

Fire Reporting Procedures

The following procedures are guidance to anyone on campus who discovers a FIRE:

- 1. Proceed to the nearest emergency call box to activate the fire alarm system, and call the UMF Department of Public Safety/Campus Police or Emergency Dispatch at 911.
- 2. Provide the officer your name, the building name, the location of the fire, and if there are any injuries.
- 3. If the fire is small and you have properly trained, use a fire extinguisher to put the fire out.

Emergency Evacuation Procedures for Individuals with Disabilities

- 1. If the occupant, resident or visitor is located above or below the ground floor, **do not use the elevator**.
- 2. If the occupant, resident or visitor is located on the ground floor of the building, exit through the closest means of egress.

3. Call the UMF Department of Public Safety/Campus Police and give the officer your name, exact location, room number and telephone number. Remain by the phone until firefighters come and assist persons who are unable to evacuate.

An emergency situation exists when a fire alarm system activates. Regardless of the cause, all emergency procedures must be followed. Occupants shall exit the building in an orderly fashion; the Farmington Fire Department (via the 9-1-1 system) will be notified. The UMF Department of Facilities Management as well as the Department of Public Safety/Campus Police will be advised. Campus Police personnel will remain on site to assist the Farmington Fire Department as needed until the source of the activation is known and the most senior fire department official deems an emergency no longer exists.

A maintenance issue exists when a fire alarm panel shows a trouble light or alarm, but the system was not activated. When this situation occurs, the individual that discovered the trouble shall contact the Facilities Management office immediately. A licensed electrician will investigate the source of the problem, make repairs and reset the alarm panel. Other than a licensed electrician, no other staff, faculty or students shall reset a fire alarm that is in trouble.

Health and Safety Inspections

The UMF Department of Facilities Management performs Residence Hall health and safety inspections annually. The inspections are primarily designed to find and eliminate safety violations. The inspection will include, but not be limited to, a visual examination of electrical cords, sprinklers heads, smoke detectors and other safety systems.

In addition, the UMF Department of Student Life examines each room for the presence of prohibited items (e.g., sources of open flames, such as candles, non-surge protected extension cords, halogen lamps, portable cooking appliances in non-cooking areas, etc.) or prohibited activity (e.g., smoking in the room, tampering with life safety equipment, possession of pets, etc.) throughout the academic year.

Housing Policies

Cooking

Cooking is among the most frequent causes of residential fires. Cooking is not permitted in student rooms. Cooking may only be done in designated kitchen areas

and must not be left unattended. Appliances with exposed burners (e.g., hotplates) are not permitted in residential buildings. Items with enclosed heating elements may only be used in designated kitchen areas. This includes, but is not limited to, use of appliances such as toasters, toaster ovens, frying pans, deep fat fryers, grilling machines, waffle irons and slow cookers. An alarm set off because a student has been cooking in their room will be subject to a fine.

Flammable Materials

Candles, incense or any source of open flame, or ignition are prohibited in a student's residence. Flammable materials such as gasoline, camp stove fuel, paint solvents, propane, butane, charcoal lighter fluid or other highly flammable material may not be stored or used in student residences and will be removed if found.

Electrical Appliances

Electric line load limitations prohibit the use of heavy-demand appliances in student rooms. Residential students are prohibited from bringing their own refrigerators onto campus, the University provides micro-refrigerators in all Residence Hall rooms. Larger sized refrigerators, keg refrigerators, coolers, air conditioners and space heaters are prohibited and will be removed if found.

Light-demand electrical items, such as radios, stereos, alarm clocks and televisions may be used within the limitations of safe operating conditions. Please contact the Department of Facilities Management for guidance on the use of multiple appliances. All electrical items should be unplugged if the student room will not be occupied for an extended period of time such as during breaks.

Electrical Safety

Any electrical device which is modified after purchase is prohibited and will be removed. Students may not add wiring, remove or alter existing wiring or use unsafe wiring devices. Outlet expanders are prohibited and will be confiscated. Thin wire extension cords used for any purpose other than extending a single, low wattage item will be removed. Overloading thin wire extension cords can lead to overheating and fire. Do not plug power strips into thin wire extension cords. In no case should power strips be plugged into other power strips.

No current-carrying wire will be run under carpets or be taped across walking paths, door thresholds or through doorways where pinching or other damage can result. Fines may be issued for unsafe wiring practices.

Fire Protection and Combustible Materials

A fire in a campus residence is a disaster that can be avoided by the mutual effort of residents and staff. The misuse of fire safety equipment (e.g., fire escapes, fire extinguishers, smoke detectors, sprinkler systems and fire alarms) is not permitted and is a violation of Public Safety/Campus Police laws in the State of Maine. Smoke detectors, sprinkler heads and fire alarms may not be covered with tapestries or any other materials. Nothing may be attached to or hung from sprinkler pipes or sprinkler heads. A \$50.00 fine will be assessed along with the repair/replacement cost of any life safety system that is tampered with or damaged. All students should become familiar with policies regarding life and fire safety, administered by the Department of Facilities Management.

Smoking

Smoking or the burning of any type of pipe, cigar and cigarette or similar product is prohibited in all campus buildings including residence halls and houses and their stairwells, porches or garages. In 2011, the University of Maine at Farmington decided to go "Tobacco Free." Smoking and all tobacco products are now prohibited on the entire UMF campus

The presence of ash-filled ash trays, hookahs and water pipes will be considered proof of smoking in rooms and will be reported to the UMF Department of Public Safety/Campus Police. Water pipes and hookahs may be confiscated.

Fire Safety Tips

- 1. Fire Drills/Evacuation
 - a. Never assume it's a false alarm
 - b. Know the safest and quickest route out of your building
 - c. Always move as quickly and safely as you can
 - d. Showers are not safe places during a fire
 - e. Know where to gather to get a head count
- 2. Fire Safety Systems and Equipment
 - a. Never hang items or cover sprinkler piping or heads

- b. Know locations of the nearest alarm pull station
- c. Never tamper with or cover smoke detectors

3. Prevention

- a. Maintain a clear path through your room to exit door
- b. Never cook in your room
- c. Never use candles
- d. Avoid placing extension cords under carpeting
- e. Always plug personal items into power strips
- f. Avoid using thin wire extension cords
- g. Outlet adapters are prohibited
- h. Flammable materials and combustion source devices are prohibited in all resident halls.
- i. Always keep hallways free of personal items and trash

A daily fire log is available for review upon written request to the Director of Public Safety/Chief of Police at brock.caton@maine.edu or can be reviewed in person by appointment at the UMF Department of Public Safety/Campus Police from 8:00 a.m. to 4:00 p.m. Monday through Friday. The information in the fire log typically includes the nature, date, time and general location. To review, submit a verbal or written request to the Director of Public Safety/Chief of Police during business hours.

Fire Statistics

University of Maine at Farmington				
Statistics and Related Information				
Regarding Fires in Student Housing				
Facilities				
Year	2019			
Scott Hall South	0	0	0	0
Scott Hall West	0	0	0	0
Scott Hall North	0	0	0	0
Mallett Hall	0	0	0	0
Purington Hall	0	0	0	0
Stone Hall	0	0	0	0
Lockwood Hall	0	0	0	0
Dakin Hall	0	0	0	0
Black Hall	0	0	0	0
Year	2020			
Scott Hall South	0	0	0	0
Scott Hall West	0	0	0	0
Scott Hall North	0	0	0	0
Mallett Hall	0	0	0	0
Purington Hall	0	0	0	0
Stone Hall	0	0	0	0
Lockwood Hall	0	0	0	0
Dakin Hall	0	0	0	0
Black Hall	0	0	0	0
<u>Year</u>	<u>2021</u>			
Scott Hall South	0	0	0	0
Scott Hall West	0	0	0	0
Scott Hall North	0	0	0	0
Mallett Hall	0	0	0	0
Purington Hall	0	0	0	0
Stone Hall	0	0	0	0
Lockwood Hall	0	0	0	0
Dakin Hall	0	0	0	0
Black Hall	0	0	0	0

Fire Safety Systems	Fire Alarm Monitoring	Full Sprinkler System	Smoke Detection	Fire Extinguishers	Evacuation Plans/Placards	Number of (Fire) Drills
Scott Hall South	yes	yes	yes	yes	yes	2
Scott Hall West	yes	yes	yes	yes	yes	2
Scott Hall North	yes	yes	yes	yes	yes	2
Mallet Hall	yes	yes	yes	yes	yes	2
Purington Hall	yes	yes	yes	yes	yes	2
Stone Hall	yes	yes	yes	yes	yes	2
Lockwood Hall	yes	yes	yes	yes	yes	2
Dakin Hall	yes	yes	yes	yes	yes	2
Black Hall	yes	yes	yes	yes	yes	2