Sponsor: U.S. Department of Education Award
Number II: P425E202234
Award Number III: P425E202234
Award Amount II: $1,079,026
Award Amount III $2,963,379
Report Period II: 04.01.2021-06.30.2021
Report Period III: 04.01.2021-06.30.2121
Report Date: 06.30.2021

Source and Purpose of Funds
The University of Maine at Farmington (UMF) received the above award from HEERF II passed by Congress on December 27, 2020 and HEERF III passed by Congress on March 11, 2021 to provide emergency financial aid grants to eligible students for their expenses related to the disruption of our campus operations due to coronavirus.

Eligibility Requirements II and III
Consistent with the guidance from the U.S. DOE, eligible students include all students who were enrolled at UMF in Spring 2021 (updated in June 2021 to include students enrolled in Summer 2021, and Fall 2021) (II) and Spring 2020, Summer 2021, and Fall 2021 (III) and are eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965 (HEA), with the following exceptions:
- Non-degree seeking students
- Employees receiving UMS Employee Tuition benefits
- Early College students
- Students enrolled in exclusively online programs as of March 13, 2020.

Distribution Plan

HEERF II

100% percent of the CARES student aid was being made available by application to eligible students who self-identify with additional need as a result of the disruption to campus operations caused by COVID-19.

In some cases, emergency funds may have been supplemented with a portion of CARES dollars intended for institutional relief.

All distributions to students have been / will be made via direct deposit, check, or application to bills (if the student indicates they want them to be applied to their bills). Because the IRS considers the
CARES student funds as a “qualified disaster relief payment,” they are not considered taxable income.

**Disbursements To-Date**

<table>
<thead>
<tr>
<th>Distribution Type</th>
<th>Number of Eligible Students</th>
<th>Number of Students To Which Aid Has Been Distributed</th>
<th>Total Amount of Aid That Has Been Distributed</th>
<th>Amount of Funds Remaining for Disbursement</th>
</tr>
</thead>
<tbody>
<tr>
<td>application Q2</td>
<td>1569</td>
<td>326</td>
<td>782,661.20</td>
<td>296,364.80</td>
</tr>
<tr>
<td>Total Q2</td>
<td>1569</td>
<td>326</td>
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</tr>
</tbody>
</table>

Note: disbursements started 4.10.2021

**HEERF III**

Draft Plan (waiting for approval)

*Automatic allocations*

$250 allocation for Pell recipients allocated on the following dates: Fall 2021 enrolled students starting July 15, 2021; Spring 2022 enrolled students starting November 1, 2021 (earmark: $500,000/$250,000 per allocation period)

Students who have unmet need as per their FAFSA-the amount of unmet need up to $1500, allocated on the following dates: Fall 2021 enrolled students starting July 15, 2021; Spring 2022 *newly enrolled* students starting December 1, 2021 (earmark: $1,000,000; maximum award per student $1500)

Notice about earmarked funds: if there are any funds left over in any earmarked fund, the funds will be rolled into the general emergency fund.

*Allocations by application (general emergency fund)*

All students enrolled in Fall 2021 one week before classes begin

Students who have unpaid balances one month after each semester starts (notice sent September 20, 2021, February 15, 2022)

All students enrolled in Spring 2022 one week before classes begin

All students the day class registration starts for the Fall 2022 semester

**Disbursements To-Date**

no funds distributed in Q 1 or Q2 (funds not drawn down during the quarter)
Communications to Students

HEERF II

sent 3.25.2021 (Q 1)

Dear UMF Students,

Under the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), the University of Maine at Farmington will be allocated $3,371,441 from the US Department of Education (allocation referenced as HEERF II; formerly referred to as “CARES Act”). The Act requires that $1,079,026 of these dollars go directly to students to cover emergency expenses arising from the disruption in campus operations due to the coronavirus.

These funds will be used for eligible students and distributed on a case-by-case basis.

If you are experiencing an extenuating circumstance or an emergency situation due to the coronavirus that is impacting your degree work and your financial situation, please fill out this form.

What happens if you are allocated HEERF II emergency funds?

1. You may choose to apply the funds to your outstanding student account balance. Your decision to apply, or not apply, the funds to your outstanding student account balance is not a requirement for receiving an award.

   OR

2. You may choose to have the funds sent directly to you via direct deposit or via check sent to the address on file in Maine Street.

If you have not enrolled in direct deposit and would like to do so, please go to this link. If you are not able to enroll in direct deposit, a paper check will be mailed to the billing address listed on your MaineStreet account.

Applications are due April 8, 2021. If HEERF II dollars remain after those applications are considered, funds will be allocated on a rolling basis until the fund is depleted.

If you have any questions regarding HEERF II, please contact umf.caresact@maine.edu

Sincerely,

Edward Serna
President
University of Maine, Farmington

HEERF III

no communications sent in Q1 or Q2