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University of Maine at Farmington  
HEERF II / III  
Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA)  
American Rescue Plan Act (ARPA)  
Relief Fund for Direct Student Aid  
For the Quarter Ending: December 31, 2021

Sponsor: U.S. Department of Education

Award Number II: P425E202234  
Award Number III: P425E202234

Award Amount II: \$1,079,026  
Award Amount III \$2,963,379

Report Period II: 10.01.2021-12.31.2021  
Report Period III:10.01.2021-12.31.2021

Report Date: 12.31.2021

### **Source and Purpose of Funds**

The University of Maine at Farmington (UMF) received the above awards from HEERF II passed by Congress on December 27, 2020 and HEERF III passed by Congress on March 11, 2021 to provide emergency financial aid grants to eligible students for their expenses related to the disruption of our campus operations due to coronavirus.

### **Eligibility Requirements II and III**

Consistent with the guidance from the U.S. DOE, eligible students include all students who were enrolled at UMF in Spring 2021 (*updated in June 2021 to include students enrolled in Summer 2021, and Fall 2021*) (II) and Spring 2020, Summer 2021, and Fall 2021 (III) and are eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965 (HEA), with the following exceptions:

- Non-degree seeking students
- Employees receiving UMS Employee Tuition benefits
- Early College students
- Students enrolled in exclusively online programs as of March 13, 2020.

### **Distribution Plan**

#### **HEERF II**

100% percent of the CARES student aid was made available by application to eligible students who self-identify with additional need as a result of the disruption to campus operations caused by COVID-19.

In some cases, emergency funds may have been supplemented with a portion of CARES dollars intended for institutional relief.

All distributions to students have been / will be made via direct deposit, check, or application to bills (if the student indicates they want them to be applied to their bills). Because the IRS considers the CARES student funds as a “qualified disaster relief payment,” they are not considered taxable income.

**Disbursements To-Date**

Distribution Type	Number of Eligible Students	Number of Students To Whom Aid Has Been Distributed	Total Amount of Aid That Has Been Distributed	Amount of Funds Remaining for Disbursement
application Q4	1569	11	8,285	
Total after Q4		421	993,760.76	85,265.24

**HEERF III**

All allocations will be made by application.

All Fall 2021 and Spring 2022 enrolled students will be sent a notification about applying available funds before classes begin in Fall 2021, and in early December 2021 (for Spring 2022). Two “second rounds” of applications were facilitated: in mid fall and late fall 2021 semester.

**Disbursements To-Date**

allocation: \$2,963,379

Distribution Type	Number of Eligible Students	Number of Students To Whom Aid Has Been Distributed	Total Amount of Aid That Has Been Distributed	Amount of Funds Remaining for Disbursement
application Q4	1743	250	470,930	
Total after Q4		550	1,335,152	1,628,227

## **Communications to Students**

### **HEERF II**

sent 07.17.2021

Dear students,

If you were enrolled in Spring 2021, there are still emergency funds available from UMF's HEERF II allocation. The link to the form is in the email below. Applications are due July 25, and priority will be given to students who have not received the maximum allocation of \$4000 total for HEERF II.

FYI UMF has received additional federal funds under HEERF III, and we will be sending information soon about applying for these funds. This will be a new process, so if you have received funds in HEERF I (formerly referred to as CARES Act) or HEERF II, you will be newly eligible for these funds.

Thank you,

The HEERF team

----- Forwarded message -----

From: **UMF Cares Act** <[umf.caresact@maine.edu](mailto:umf.caresact@maine.edu)>

Date: Thu, Mar 25, 2021 at 12:17 PM

Subject: HEERF II (formerly referred to as CARES Act): emergency funds available

To:

Dear UMF Students,

Under the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), the University of Maine at Farmington will be allocated \$3,371,441 from the US Department of Education (allocation referenced as HEERF II; formerly referred to as "CARES Act"). The Act requires that \$1,079,026 of these dollars go directly to students to cover emergency expenses arising from the disruption in campus operations due to the coronavirus.

These funds will be used for eligible students and distributed on a case-by-case basis. If you are experiencing an extenuating circumstance or an emergency situation due to the coronavirus that is impacting your degree work and your financial situation, please fill out this [form](#). If you are allocated HEERF II funds, you will be notified from this email account.

What happens if you are allocated HEERF II emergency funds?

1. You may choose to apply the funds to your outstanding student account balance. Your decision to apply, or not apply, the funds to your outstanding student account balance is not a requirement for receiving an award.

OR

2. You may choose to have the funds sent directly to you via direct deposit or via check sent to the address on file in Maine Street.

If you have not enrolled in direct deposit and would like to do so, please go to this [link](#). If you are

not able to enroll in direct deposit, a paper check will be mailed to the billing address listed on your MaineStreet account.

**Applications are due April 8, 2021.** If HEERF II dollars remain after those applications are considered, funds will be allocated on a rolling basis until the fund is depleted.

If you have any questions regarding HEERF II, please contact [umf.caresact@maine.edu](mailto:umf.caresact@maine.edu)

Sincerely,

Edward Serna  
President  
University of Maine, Farmington

Sent March 25, 2021

Dear UMF Students,

Under the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), the University of Maine at Farmington will be allocated \$3,371,441 from the US Department of Education (allocation referenced as HEERF II; formerly referred to as "CARES Act"). The Act requires that \$1,079,026 of these dollars go directly to students to cover emergency expenses arising from the disruption in campus operations due to the coronavirus.

These funds will be used for eligible students and distributed on a case-by-case basis.

If you are experiencing an extenuating circumstance or an emergency situation due to the coronavirus that is impacting your degree work and your financial situation, please fill out this [form](#).

What happens if you are allocated HEERF II emergency funds?

1. You may choose to apply the funds to your outstanding student account balance. Your decision to apply, or not apply, the funds to your outstanding student account balance is not a requirement for receiving an award.

OR

2. You may choose to have the funds sent directly to you via direct deposit or via check sent to the address on file in Maine Street.

If you have not enrolled in direct deposit and would like to do so, please go to this [link](#). If you are not able to enroll in direct deposit, a paper check will be mailed to the billing address listed on your MaineStreet account.

Applications are due April 8, 2021. If HEERF II dollars remain after those applications are considered, funds will be allocated on a rolling basis until the fund is depleted.

If you have any questions regarding HEERF II, please contact [umf.caresact@maine.edu](mailto:umf.caresact@maine.edu)

Sincerely,

Edward Serna  
President  
University of Maine, Farmington

## HEERF III

*Sent December 21, 2021*

Dear UMF Students,

On December 3, 2021, applications for Spring 2022 emergency funds were due. Those applications have been considered and emergency funds allocated.

There are still funds available, and the application has been reopened. These remaining funds will be used for eligible students on a case by case basis: undergraduate, graduate, and graduate certification students who will be enrolled in Spring 2022 classes.

If you are experiencing an extenuating circumstance or an emergency situation due to the coronavirus that is impacting your degree work, your financial situation, or your ability to fulfill your Spring 2022 financial obligations, please fill out [this form](#).

Final applications for Spring 2022 are due December 30, 2021 at 5:00 pm. Applications received after the deadline will not be considered.

Important notes:

- Priority will be given to students who have not received any allocation for Fall 2021 - Spring 2022, and then students who have received smaller allocations.
- Students who have already received allocations of \$4000 under HEERF III for Fall 2021 and Spring 2022 are not eligible for additional funds.
- Please fill out the application in detail. Incomplete applications will not be considered.
- Please also fill out your own application. Students may not complete applications on behalf of other students.
- Applications will be reviewed starting at the deadline and during the week of January 3, 2022.
- Funds will be allocated the week of January 10, 2022.

What happens if you are allocated HEERF III emergency funds?

1. You may choose to apply the funds to your outstanding student account balance. Your decision to apply, or not apply, the funds to your outstanding student account balance is not a requirement for receiving an award.

OR

2. You may choose to have the funds sent directly to you via direct deposit or via check sent to the address on file in Maine Street.

If you have not enrolled in direct deposit and would like to do so, please go to this [link](#). If you are not able to enroll in direct deposit, a paper check will be mailed to the billing address listed on your MaineStreet account.

If you have any questions regarding HEERF III, please contact [umf.caresact@maine.edu](mailto:umf.caresact@maine.edu)

Thank you.

*Sent November 15, 2021*

Dear UMF Students,

Under the American Recovery Act of 2021, the University of Maine at Farmington will be allocated \$5,925,678 from the US Department of Education (DOE), Office of Postsecondary Education (OPE) (allocation referenced as HEERF III) of which \$2,963,379 must go directly to students to cover emergency expenses arising from the disruption in campus operations due to the coronavirus.

These funds will be used for eligible students and distributed on a case-by-case basis. Eligible students are UMF students who are enrolled in Fall 2022 classes (undergraduate, graduate, and grad certificate students).

If you are experiencing an extenuating circumstance or an emergency situation due to the coronavirus that is impacting your degree work, your financial situation, or your ability to fulfill your Spring 2022 financial obligations, please fill out [this form](#).

First round applications for Spring 2022 are due December 3, 2021 at 5 pm.

Important notes:

- Please fill out the application in detail. Incomplete applications will not be considered.
- Please also fill out your own application. Students may not complete applications on behalf of other students.

What happens if you are allocated HEERF III emergency funds?

1. You may choose to apply the funds to your outstanding student account balance. Your decision to apply, or not apply, the funds to your outstanding student account balance is not a requirement for receiving an award.

OR

2. You may choose to have the funds sent directly to you via direct deposit or via check sent to the address on file in Maine Street.

If you have not enrolled in direct deposit and would like to do so, please go to this [link](#). If you are not able to enroll in direct deposit, a paper check will be mailed to the billing address listed on your MaineStreet account.

If HEERF III dollars remain after this first round of allocations, additional rounds of applications will be conducted.

If you have any questions regarding HEERF III, please contact [umf.caresact@maine.edu](mailto:umf.caresact@maine.edu)

Sincerely,

Edward Serna  
President  
University of Maine, Farmington

*Sent October 31, 2021*

Dear UMF Students,

Under the American Recovery Act of 2021, the University of Maine at Farmington will be allocated \$5,925,678 from the US Department of Education (DOE), Office of Postsecondary Education (OPE) (allocation referenced as HEERF III) of which \$2,963,379 must go directly to students to cover emergency expenses arising from the disruption in campus operations due to the coronavirus.

These funds will be used for eligible students and distributed on a case-by-case basis. Eligible students are UMF students who are enrolled in Fall 2021 classes (undergraduate, graduate, and grad certificate students).

If you are experiencing an extenuating circumstance or an emergency situation due to the coronavirus that is impacting your degree work, your financial situation, or your ability to fulfill your Fall 2021 financial obligations, please fill out [this form](#).

Final applications for Fall 2021 are due November 12, 2021 at 5 pm.

Important notes:

- At this time, funds for Fall 2021 are available for students who have not have already received a maximum allocation of \$4000 under HEERF III for Fall 2021.
- Please fill out the application in detail. Incomplete applications will not be considered.
- Please also fill out your own application. Students may not complete applications on behalf of other students.

What happens if you are allocated HEERF III emergency funds?

1. You may choose to apply the funds to your outstanding student account balance. Your decision to apply, or not apply, the funds to your outstanding student account balance is not a requirement for receiving an award.

OR

2. You may choose to have the funds sent directly to you via direct deposit or via check sent to the address on file in Maine Street.

If you have not enrolled in direct deposit and would like to do so, please go to this [link](#). If you are not able to enroll in direct deposit, a paper check will be mailed to the billing address listed on your

MaineStreet account.

Emergency fund applications for Spring 2022 will be available on November 15, 2021. An announcement will be sent to all students.

If you have any questions regarding HEERF III, please contact [umf.caresact@maine.edu](mailto:umf.caresact@maine.edu)

Sincerely,

Edward Serna  
President  
University of Maine, Farmington

*Sent August 6, 2021*

Dear UMF Students,

Under the American Recovery Act of 2021, the University of Maine at Farmington will be allocated \$5,925,678 from the US Department of Education (DOE), Office of Postsecondary Education (OPE) (allocation referenced as HEERF III) of which \$2,963,379 must go directly to students to cover emergency expenses arising from the disruption in campus operations due to the coronavirus.

These funds will be used for eligible students and distributed on a case-by-case basis. Eligible students are UMF students who are enrolled in Fall 2021 classes (undergraduate, graduate, and grad certificate students).

If you are experiencing an extenuating circumstance or an emergency situation due to the coronavirus that is impacting your degree work and your financial situation, please fill out this [form](#). Please fill out the application in detail. Incomplete applications will not be considered.

What happens if you are allocated HEERF III emergency funds?

1. You may choose to apply the funds to your outstanding student account balance. Your decision to apply, or not apply, the funds to your outstanding student account balance is not a requirement for receiving an award.

OR

2. You may choose to have the funds sent directly to you via direct deposit or via check sent to the address on file in Maine Street.

If you have not enrolled in direct deposit and would like to do so, please go to this [link](#). If you are not able to enroll in direct deposit, a paper check will be mailed to the billing address listed on your MaineStreet account.

First round applications are due Friday, August 13, 2021 at 5:00 pm. If HEERF III dollars remain after those applications are considered, additional rounds of applications will be conducted.

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- Please fill out your own application. Students may not complete applications on behalf of other students.



If you have any questions regarding HEERF III, please contact [umf.caresact@maine.edu](mailto:umf.caresact@maine.edu)

Sincerely,

Edward Serna  
President  
University of Maine, Farmington